



**FI\$Cal**

*Financial Information System for California*

# Wave 2 UAT – Cash Management Business Process Overview

April 2015

# Overview

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- Welcome & Introductions – CMO
- Module Overview – Pat Gennett
- BP Overview #1: Automatic Bank Reconciliation and Semi-Manual Reconciliation – Pat Gennett & Monte Hand
- BP Overview #2: Bank Account Transfer Request – Pat Gennett & Monte Hand
- BP Overview #2: Approve or Deny Bank Account Transfer – Pat Gennett & Monte Hand
- Wrap Up & Next Steps – CMO

# Welcome!

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- Welcome to the Business Process Overview for Cash Management
- Objectives for today's session:
  - Introduce (or re-introduce) you to the Cash Management functionality in scope for Wave 2
  - Provide an overview of the business processes for Cash Management
  - Review UAT scenarios for the Cash Management functionality, including scenarios for each business process
  - Perform a brief system demonstration to further explain core functions of the Cash Management functionality

# Today's Presenters

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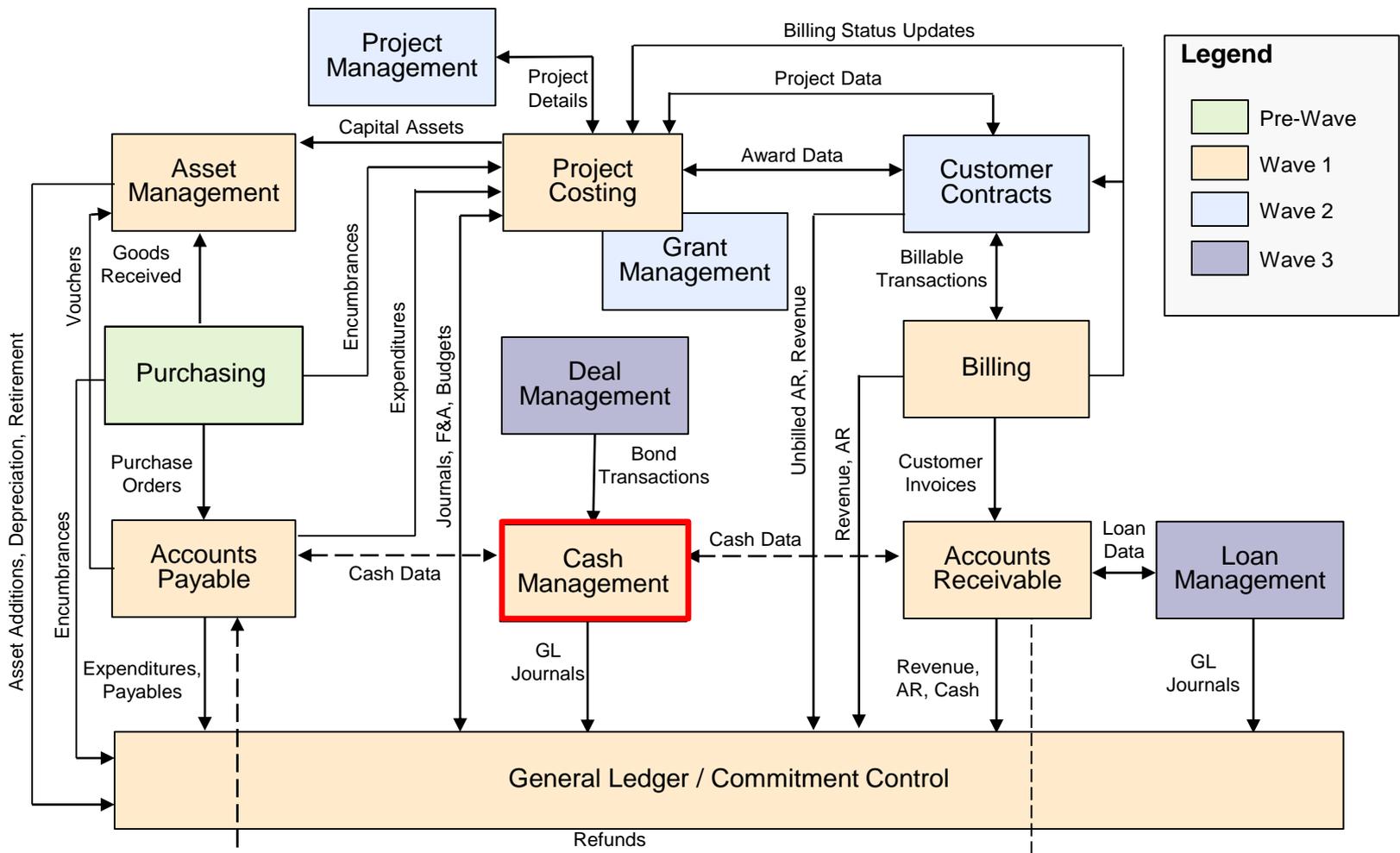
- Pat Gennett – State Module Lead
- Venkat Nagiseti – Accenture Module Lead
- Monte Hand – State Module Team
- Jeffrey Ju – State Module Team
- Gloria Martinez – State Module Team
- Bobbi Skinner – State Module Team
- Jimmy Tran – State Module Team
- Thuy Truong – State Module Team

# Cash Management Module Overview

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- Introduction
- Process Overview

# FI\$Cal Accounting Solution



# Benefits of Cash Management in FI\$Cal

Centralized bank and bank account maintenance

Allow for automated reconciliation and reporting which will decrease the manual effort and increase visibility by control agencies

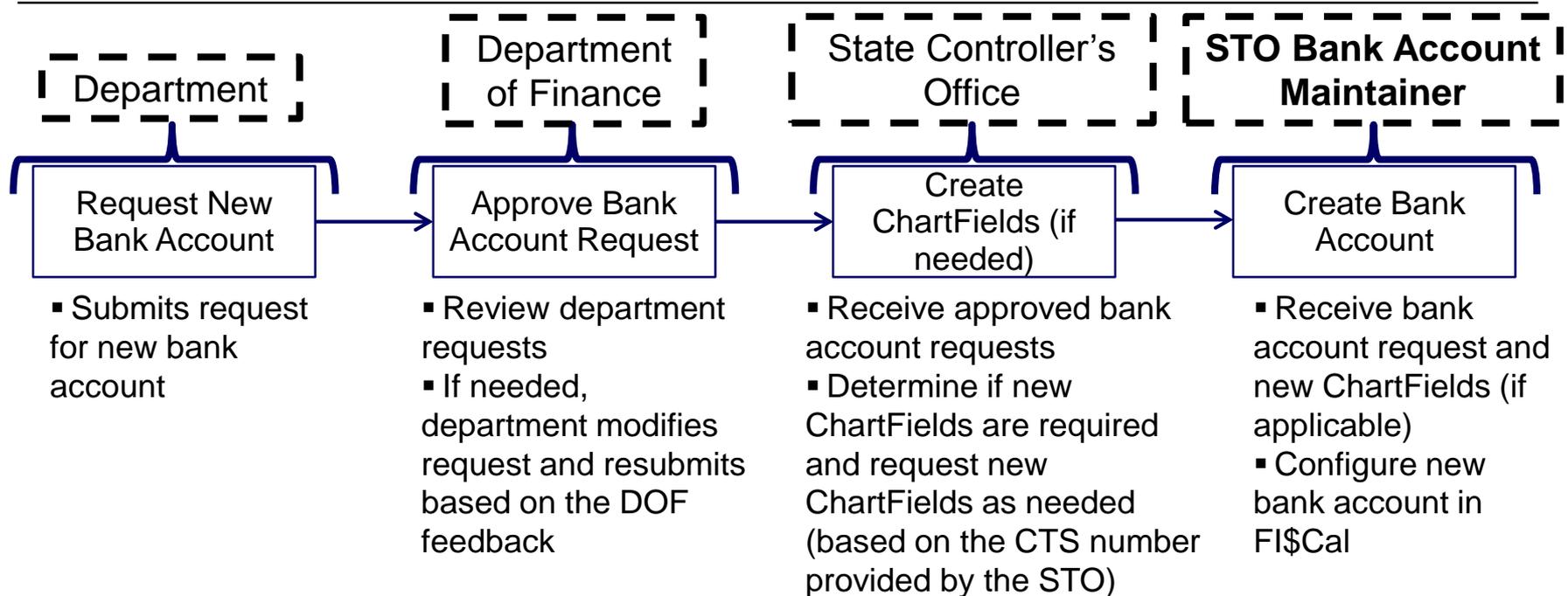
Streamline the data flow and reconciliation process across partner agencies and departments

Automate the generation of accounting entries based on triggered systemic events

Enhance visibility to in-transit/unanticipated transactions

Use a single source of historical cash transaction data and robust reporting capabilities to improve visibility to current and forecasted cash balances

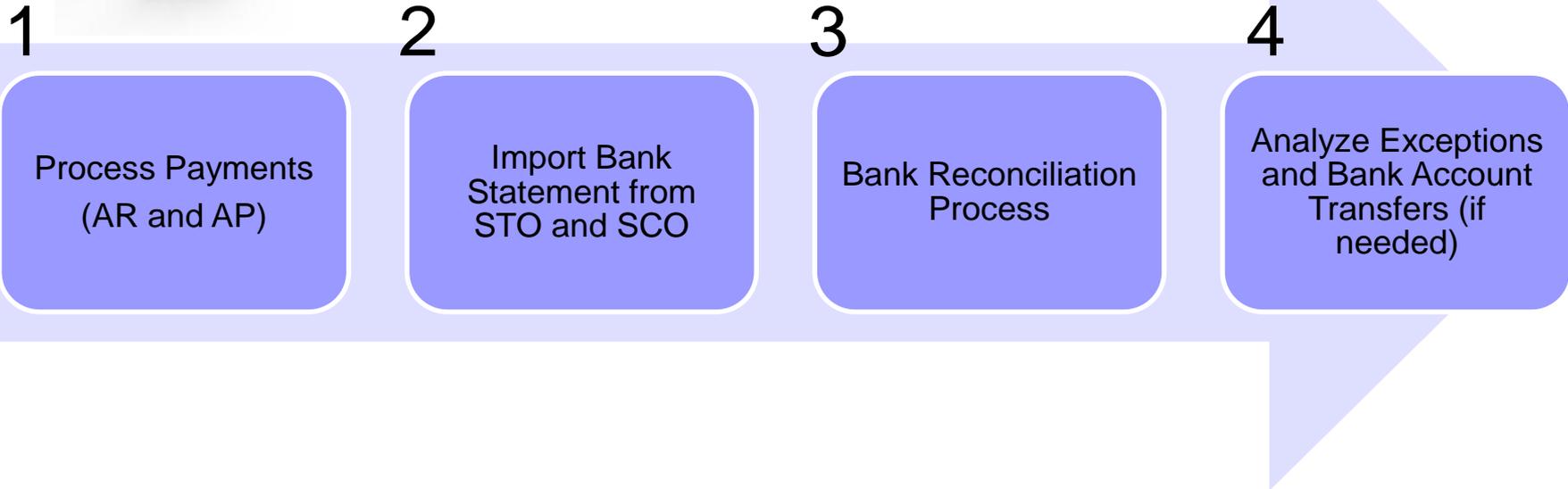
# Create Bank Accounts (review)



## Key Impacts

- This process facilitates the management of cash in the CTS Accounts, the Warrant Account, and the Interagency Account
- New bank account requests route to DOF, SCO, and STO for approval and processing

# End to End Process for Cash Management

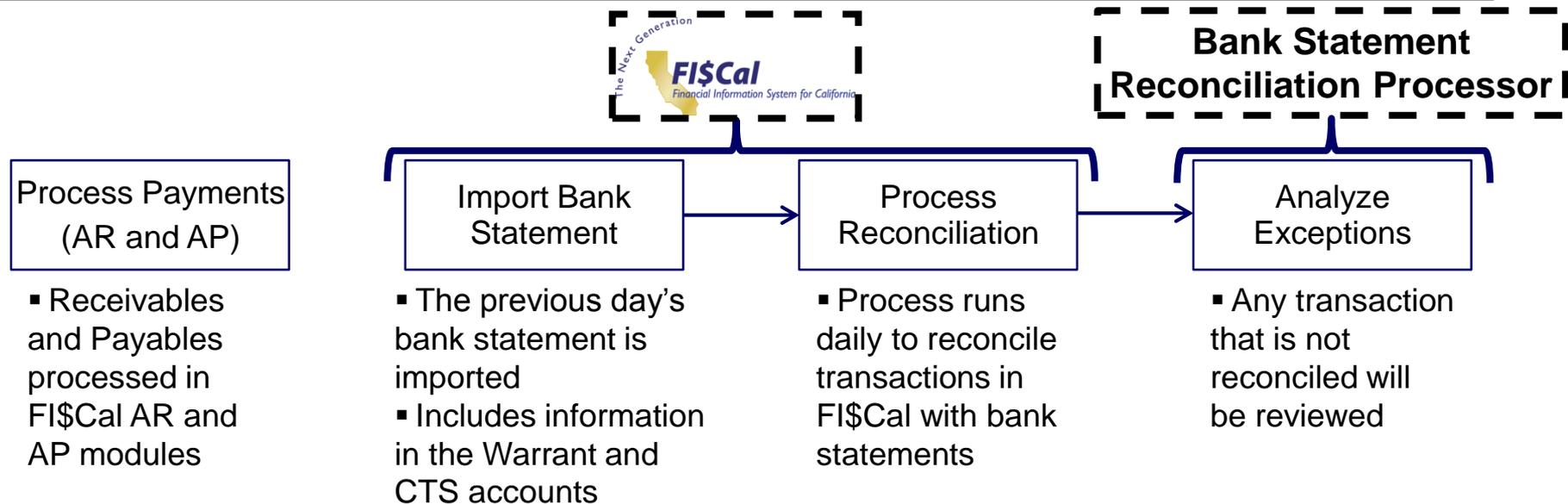


# BP Overview #1 Automatic Bank Reconciliation and Semi-Manual Reconciliation

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- Introduction
- Process Overview
- UAT Scenario Review
- System Demo
- Q&A

# BP Overview #1 Automatic Bank Reconciliation and Semi-Manual Reconciliation



## Key Impacts

- SCO analyzes exceptions for the Warrant Account
- Departments analyze exceptions for CTS Accounts
- Exceptions may be reviewed daily and must be resolved monthly

# BP Overview #1 Automatic Bank Reconciliation and Semi-Manual Reconciliation

- Review UAT Scenarios

Business Process	UAT Scenario	Brief Description
CM2 - Reconcile Statements	Run Automatic Reconciliation	Run automatic reconciliation to reconcile transactions between AP, AR, and CM and the bank statement.

# Reconcile Statement Illustration

Favorites ▾ Main Menu ▾ > Banking ▾ > Reconcile Statements ▾ > Automatic Reconciliation

**FI\$Cal**

Bank ID:  Account #:

\*Date:  From:  Thru:

Select	Bank ID	Account #	Statement ID	As Of Date	Trans	Reconciled	Cycle Status	Exceptions
<input type="checkbox"/>	121113423	421	159	11/12/2014	6	0	Ready	<a href="#">Exceptions</a>
<input checked="" type="checkbox"/>	121113423	421	158	11/10/2014	2	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	156	11/07/2014	9	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	154	11/06/2014	12	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	152	11/05/2014	5	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	151	11/04/2014	12	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	150	11/03/2014	9	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	143	10/24/2014	17	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	140	10/23/2014	14	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	138	10/22/2014	12	0	Ready	<a href="#">Exceptions</a>

**Key Points:**

- Navigate to Automatic Reconciliation page
- Select From and Thru Dates and search of Bank Statements to Reconcile
- Click on Run Recon button to run the Automatic Reconciliation Process

Select All  Clear All Process Monitor

Reports:

# Reconcile Statement Illustration

Favorites ▾ Main Menu ▾ > Banking ▾ > Reconcile Statements ▾ > Automatic Reconciliation

**FI\$Cal**

Bank ID:  Account #:

\*Date:  From:  Thru:

Select	Bank ID	Account #	Statement ID	As Of Date	Trans	Reconciled	Cycle Status	Exceptions
<input type="checkbox"/>	121113423	421	159	11/12/2014	6	0	Ready	<a href="#">Exceptions</a>
<input checked="" type="checkbox"/>	121113423	421	158	11/10/2014	2	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	156	11/07/2014	9	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	154	11/06/2014	12	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	152	11/05/2014	5	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	151	11/04/2014	12	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	150	11/03/2014	9	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	148	10/31/2014	11	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	147	10/30/2014	6	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	146	10/29/2014	6	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	145	10/28/2014	9	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	144	10/27/2014	12	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	143	10/24/2014	17	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	140	10/23/2014	14	0	Ready	<a href="#">Exceptions</a>
<input type="checkbox"/>	121113423	421	138	10/22/2014	12	0	Ready	<a href="#">Exceptions</a>

Select All  Clear All

Reports:

# Reconcile Statement Illustration

[Favorites](#) > [Main Menu](#) > [Banking](#) > [Reconcile Statements](#) > [Automatic Reconciliation](#) > [Process Monitor](#)

**FI\$Cal**

[Process List](#) | [Server List](#)

View Process Request For

User ID:  
 Type:  Last:  Days:

Server:  Name:  Instance:  to:

Run Status:  Distribution Status:   Save On Refresh

Select	Instance	Seq.	Process Type	Process Name	User	Run Date/Time	Run Status	Distribution Status	Details
<input type="checkbox"/>	79191		Application Engine	FSPRECON	10000058	04/24/2015 10:32:35AM PDT	Success	Posted	<a href="#">Details</a>
<input type="checkbox"/>	79190		BI Publisher	FSX3001	10000058	04/24/2015 10:32:15AM PDT	Success	Posted	<a href="#">Details</a>
<input type="checkbox"/>	79189		Application Engine						
<input type="checkbox"/>	78854		BI Publisher						
<input type="checkbox"/>	78806		PSJob						
<input type="checkbox"/>	78797		BI Publisher						
<input type="checkbox"/>	78791		PSJob						

[Go back to Automatic Reconciliation](#)

[Process List](#) | [Server List](#)

## Key Points:

- Process FSPRECON go to Success and Posted.
- Automatic Reconciliation process may reconcile all the items or few items or no items in the bank statement.

# Reconcile Statement Illustration

Favorites ▾ Main Menu ▾ > Banking ▾ > Reconcile Statements ▾ > Automatic Reconciliation

**FI\$Cal**

Bank ID:  Account #:

\*Date:  From:  Thru:

Bank Statements									Personalize   Find   View All   <input type="button" value="Print"/>   <input type="button" value="Calendar"/>
Select	Bank ID	Account #	Statement ID	As Of Date	Trans	Reconciled	Cycle Status	Exceptions	First 1-25 of 92 Last
<input type="checkbox"/>	121113423	421	159	11/12/2014	6	0	Ready	<a href="#">Exceptions</a>	
<input checked="" type="checkbox"/>	121113423	421	158	11/10/2014	2	0	Ready	<a href="#">Exceptions</a>	
<input type="checkbox"/>	121113423	421	156	11/07/2014	9	0	Ready	<a href="#">Exceptions</a>	
<input type="checkbox"/>	121113423	421	154	11/06/2014	12	0	Ready	<a href="#">Exceptions</a>	
<input type="checkbox"/>	121113423	421	152	11/05/2014	5	0	Ready	<a href="#">Exceptions</a>	
<input type="checkbox"/>	121113423	421	151	11/04/2014	12	0	Ready	<a href="#">Exceptions</a>	
<input type="checkbox"/>	121113423	421	150	11/03/2014	9	0	Ready	<a href="#">Exceptions</a>	
<input type="checkbox"/>	121113423	421	148	10/31/2014	11	0	Ready	<a href="#">Exceptions</a>	
<input type="checkbox"/>	121113423	421	147	10/30/2014	6	0	Ready	<a href="#">Exceptions</a>	
<input type="checkbox"/>	121113423	421	146	10/29/2014	6	0	Ready	<a href="#">Exceptions</a>	
<input type="checkbox"/>	121113423	421	145	10/28/2014	9	0	Ready	<a href="#">Exceptions</a>	
<input type="checkbox"/>	121113423	421	144	10/27/2014	12	0	Ready	<a href="#">Exceptions</a>	
<input type="checkbox"/>	121113423	421	143	10/24/2014	17	0	Ready	<a href="#">Exceptions</a>	
<input type="checkbox"/>	121113423	421	140	10/23/2014	14	0	Ready	<a href="#">Exceptions</a>	
<input type="checkbox"/>	121113423	421	138	10/22/2014	12	0	Ready	<a href="#">Exceptions</a>	

Select All  Clear All

Process Monitor Reports:

# Reconcile Statement Illustration

[Favorites](#) > [Main Menu](#) > [Banking](#) > [Analyze Exceptions](#) > [Auto Reconciliation Exceptions](#)

**FI\$Cal**

Automatic Reconciliation Exceptions \*Search By:

Search Criteria

Bank ID:  Account #:   
 Statement ID:  Currency Code:   
 From Date:  Thru Date:

Auto Reconciliation Exceptions Find | View All | First 1-3 of 3 Last

	Reference	Exception	Bank / Tran Amt	Bank / Tran Date	Bank Tran Type / Tran Status
<input type="checkbox"/> Reconcile	0001826 None	Not Found In System	250.00	10/10/2014	CHK Check
<input type="checkbox"/> Reconcile	1244000153 None	Not Found In System	2,427.50	10/10/2014	D Deposits
<input type="checkbox"/> Reconcile	1244000154 None	Not Found In System	2,646.00	10/10/2014	D Deposits

Select All  Clear All

## Key Points:

- Items can be matched across dates
- Reduce manual reconciliation through the use of an automated matching process and integrated data management
- Streamlines the data flow and reconciliation process across the Partner Agencies, Departments and Banks
- Items that do not match according to the reconciliation rules, show up in Analyze Exceptions
- Reconciled transactions can be seen under Semi Manual Reconciliation and un-reconciled if necessary

# Reconcile Statement Illustration

[Favorites](#) > [Main Menu](#) > [Banking](#) > [Reconcile Statements](#) > [Semi-Manual Reconciliation](#)
Home | Worklist | Ad


New Wind

**Semi Manual Reconciliation**
\* Search By:

**Search Criteria**

Bank ID:  Account #:  Statement ID:

From Date:  Thru Date:  Reconciliation Status:

From Amount:  To Amount:  Currency Code: USD

Advanced Search

Bank Transactions						System Transactions					
Select	Reference	Bank Date	Tran Amt	Type		Select	Reference	Tran Date	Tran Amt	Type	Source
<input type="checkbox"/>	000011	04/16/2014	56,000.00	CHK		<input type="checkbox"/>	0000041	05/05/2014	0.50	CHK	Payables
<input type="checkbox"/>	000010	04/16/2014	200,000.00	CHK		<input type="checkbox"/>	0000042	05/05/2014	8,765.00	CHK	Payables
<input type="checkbox"/>	000020	04/16/2014	500.00	CHK		<input type="checkbox"/>	1094000003	04/08/2014	195.50	D	Receivables
<input type="checkbox"/>	00R0	04/16/2014	2,852.00	U		<input type="checkbox"/>	1094000004	04/08/2014	941.88	D	Receivables
<input type="checkbox"/>	00R0	04/16/2014	858,113.06	U		<input type="checkbox"/>	1094000005	04/08/2014	29.24	D	Receivables

## Key Points:

- Items can be matched ad-hoc
- Total bank transactions must equal total system transactions
- When items do not match 100% according to the automated reconciliation criteria, semi manual recon can be used to match items

# Reconcile Statement Illustration

[Favorites](#) > [Main Menu](#) > [Banking](#) > [Reconcile Statements](#) > [Semi-Manual Reconciliation](#)
Home | [Worklist](#) | [Ad](#)

**FI\$Cal** New Wind

**Semi Manual Reconciliation** \*Search By:

**Search Criteria**

Bank ID:       
 Account #:       
 Statement ID:

From Date:       
 Thru Date:       
 \*Reconciliation Status:

From Amount:      
 To Amount:      
 Currency Code:

Advanced Search

Bank Transactions					System Transactions					
Select	Reference	Bank Date	Tran Amt	Type	Select	Reference	Tran Date	Tran Amt	Type	Source
<input type="checkbox"/>	000007	04/08/2014	200,000.00	CHK	<input type="checkbox"/>	000019	03/17/2014	60.55	CHK	Payables
<input type="checkbox"/>	000012	04/08/2014	200,000.00	CHK	<input type="checkbox"/>	000020	03/17/2014	500.00	CHK	Payables
<input type="checkbox"/>	000008	04/08/2014	56,000.00	CHK	<input type="checkbox"/>	000021	02/26/2014	140.00	CHK	Payables
<input type="checkbox"/>	1285	04/08/2014	1,088.00	D	<input type="checkbox"/>	000022	02/27/2014	102.00	CHK	Payables
<input type="checkbox"/>	5796	04/08/2014	346.51	D	<input type="checkbox"/>	10000009301	03/10/2014	17,515.580	D	Cash Accounting Deposits
<input type="checkbox"/>	5536	04/08/2014	6,855.30	D						

## Key Points:

- Depending on accounting configuration, items can only be unreconciled if the associated accounting entries have not been posted to the general ledger

# BP Overview #1 Automatic Bank Reconciliation and Semi-Manual Reconciliation

- System Demo



# BP Overview #1 Automatic Bank Reconciliation and Semi-Manual Reconciliation

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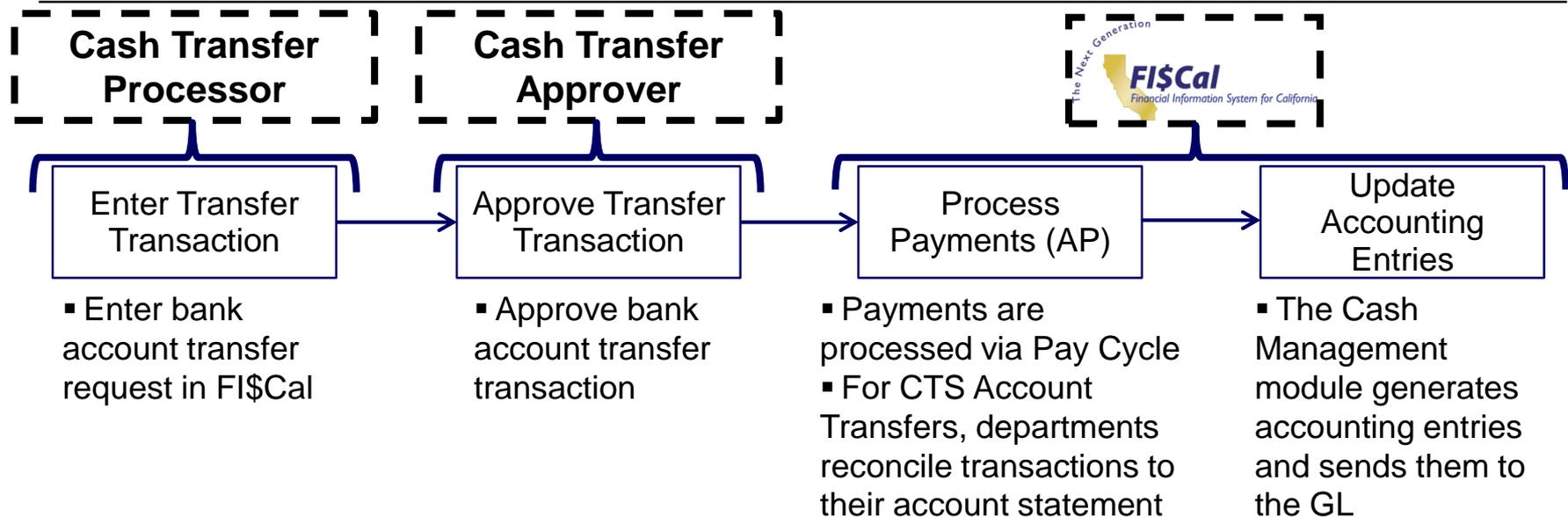


# BP Overview #2 Bank Account Transfer Request and Approval

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- Introduction
- Process Overview
- UAT Scenario Review
- System Demo
- Q&A

# Bank Account Transfer and Approval



## Key Impacts

- Bank account transfers are used to correct mistakes made in the wrong bank account when recording bank deposits or payments and will move cash between the accounts
- SCO Approved Cross Business Unit transactions will be keyed by SCO employees

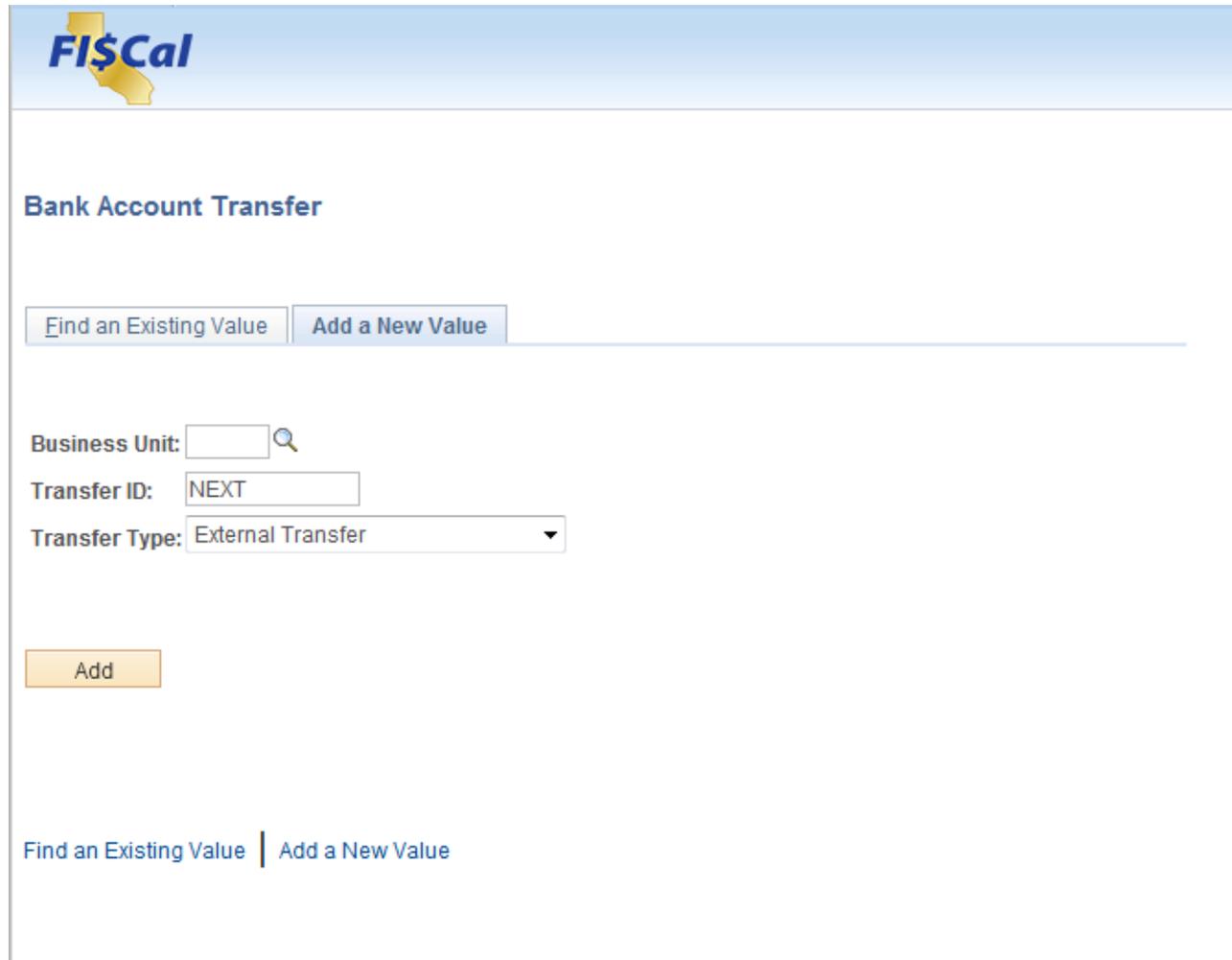
# BP Overview #2 Bank Account Transfer and Approval

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- Review UAT Scenarios

Business Process	UAT Scenario	Brief Description
CM3 - Manage Cash	Enter a Bank Account Transfer	Request a transfer between CTS bank accounts belonging to the same department and submit it for approval.
CM3 - Manage Cash	Approve/Deny a Bank Account Transfer	Review, approve, and deny a bank account transfer between CTS bank accounts.

# BP Overview #2 Bank Account Transfer and Approval



The screenshot shows the FI\$Cal web interface for a Bank Account Transfer. At the top left of the page is the FI\$Cal logo. Below it, the heading "Bank Account Transfer" is displayed. There are two buttons: "Find an Existing Value" and "Add a New Value". The "Add a New Value" button is highlighted with a blue border. Below these buttons are three input fields: "Business Unit:" with a search icon, "Transfer ID:" with the value "NEXT", and "Transfer Type:" with a dropdown menu showing "External Transfer". An orange "Add" button is located below the input fields. At the bottom of the form area, there are two links: "Find an Existing Value" and "Add a New Value".



# Bank Account Transfer Illustration

[Favorites](#) > [Main Menu](#) > [Cash Management](#) > [Settlements](#) > [Select Settlements](#)

[Home](#) | [Worklist](#) | [Add to Favorites](#) | [Sign Out](#)



[New Window](#) | [Help](#) | [Personalize Page](#)

### Select Settlements

[Settlement Search Criteria](#) [Preferences](#)

Source Unit: %     
 Bank Code: %     
 Bank Account: %     
 Currency: %     
 From Date: 04/29/2014    
 To Date: 04/29/2014    
 \*Status: Unselected

Total Payable Amount: USD -1,000.00    Filter by Source: (All)

Payments - (All) [Personalize](#) | [Find](#) | [View All](#) |  | [First](#) | 1 of 1 | [Last](#)

Payment Information	Settlement Information	Settlement Details											
Select	Source Unit	Source ID	Business Date	Amount	Curr	Stmnt Inst	Cpty	Status	Bank	Account	Cutoff Time	Cutoff Date	
<input type="checkbox"/>	3980	BAX000000058	04/29/2014	-1,000.00	USD	0024		Unselected	STATE	122		04/29/2014	<input type="button" value="Refresh"/>

Select All     Clear All  
       

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[Favorites](#) > [Main Menu](#) > [Cash Management](#) > [Settlements](#) > [Select Settlements](#)

[Home](#) | [Worklist](#) | [Add to Favorites](#)



[New Window](#) | [Help](#) | [Persona](#)

### Select Settlements

[Settlement Search Criteria](#) [Preferences](#)

Source Unit: %     
 Bank Code: %     
 Bank Account: %     
 Currency: %     
 From Date: 04/29/2014    
 To Date: 04/29/2014    
 \*Status: Unselected

Total Payable Amount: USD -1,000.00    Filter by Source: (All)

Payments - (All) [Personalize](#) | [Find](#) | [View All](#) |  | [First](#) | 1 of 1 | [Last](#)

Payment Information	Settlement Information	Settlement Details											
Select	Source Unit	Source ID	Business Date	Amount	Curr	Stmnt Inst	Cpty	Status	Bank	Account	Cutoff Time	Cutoff Date	
<input checked="" type="checkbox"/>	3980	BAX000000058	04/29/2014	-1,000.00	USD	0024		Approved	STATE	122		04/29/2014	<input type="button" value="Refresh"/>

Select All     Clear All  
       

Review Settlements

- Key Points:**
- Selected transfers are approved by Bank Account Transfer approver
  - Pay Cycle generates the transfer between accounts

# Bank Account Transfer Illustration

[Favorites](#) > [Main Menu](#) > [Cash Management](#) > [Treasury Accounting](#) > [Cash Accounting](#) > [Review Bank Account Transfer](#)



## Bank Account Transfers History

**Unit:** 0820      **Accounting ID:** 0000000022      **From Bank Code:** STATE      **From Bank Account:** 175  
**Source Code:** Bank Xfers      **Source ID:** BAX000000021      **To Bank Code:** STATE      **To Bank Account:** 021  
**Acctg Date:** 02/21/2014      **Description:** Offline Accounting Build - Bank Transfer      **Transaction Date:** 02/21/2014

People Soft Generated Accounting Entries Personalize | Find | View All | First 1-2 of 2 Last

Line	GL Unit	Amount	Currency	Exchg Rate	Exch Rt Dtl	Monetary Amount	Currency Code	IU Anchor
1	1 0820	100000.00	USD	1.00000000		100000.00	USD	<input type="checkbox"/>
2	2 0820	-100000.00	USD	1.00000000		-100000.00	USD	<input checked="" type="checkbox"/>

Cash Accounting Reclassification Entries Personalize | Find | View All | First 1-4 of 4 Last

Line	Description	Monetary Amount	Tax Authority	GL Business Unit	Currency Code	Ledger	Statistics Code	Statistic
1	1 Cash Reversal	100000.000		0820	USD	MODACCRL		
2	1 Cash Reversal	-100000.000		0820	USD	MODACCRL		
3	2 Cash Reclassification	-100000.000		0820	USD	MODACCRL		
4	2 Cash Reclassification	100000.000		0820	USD	MODACCRL		

### Key Points:

- Treasury Accounting & Cash Accounting Entries run via Batch
- Entries are available for review

# BP Overview #2 Bank Account Transfer and Approval

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- System Demo



# BP Overview #2 Bank Account Transfer and Approval

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# Wrap Up

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- In Wave 2, the following functionality will be available in FI\$Cal related to Cash Management:
  - Automatic Bank Reconciliation and Semi-Manual Reconciliation
  - Bank Account Transfer Request
  - Approve or Deny Bank Account Transfer

# Next Steps

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- Re-watch the UAT Testing Process Webinar for more information
- Attend the UAT sessions for your planned scenarios
- Have fun!

# Questions?

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FI\$Cal Project Information:

<http://www.fiscal.ca.gov/>

or e-mail the FI\$Cal Project Team at:

[UATTesting@Fiscal.ca.gov](mailto:UATTesting@Fiscal.ca.gov)