



FI\$Cal

Financial Information System for California

**Wave 2 – User Acceptance
Testing (UAT) Scenario
Workshop – Cash
Management (CM)**

February 12, 2015

Agenda

- Purpose and Expected Outcome
- Wave 1 UAT Scenarios
 - Functionality Introduction
 - Review Related UAT Scenarios
 - Collect Additional UAT Scenarios
- Next Steps

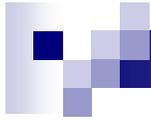
Purpose and Expected Outcome

- Purpose:

- Purpose of this workshop is to assist Departments with task BUSN242 by reviewing the UAT Scenarios for Wave 1 and Wave 2 functionality

- Expected Outcome:

- Conduct workshops and capture feedback for additional departmental UAT Scenarios



Review BUSN242 Response Template

Cash Management Key Terms

Term	Definition
Centralized Treasury System (CTS) Accounts	Department checking accounts
Bank Account Transfer	The transfer of money between CTS Accounts (not including interagency billing)
Banks	STATE Bank (for Waves 1 and 2)
STATE Bank	Includes Department CTS Accounts, the State Controller's Office (SCO) Warrant Account, and the Internal Transaction Account
Internal Transaction Account	Bank account used to facilitate direct transfers and employee payroll AR deductions

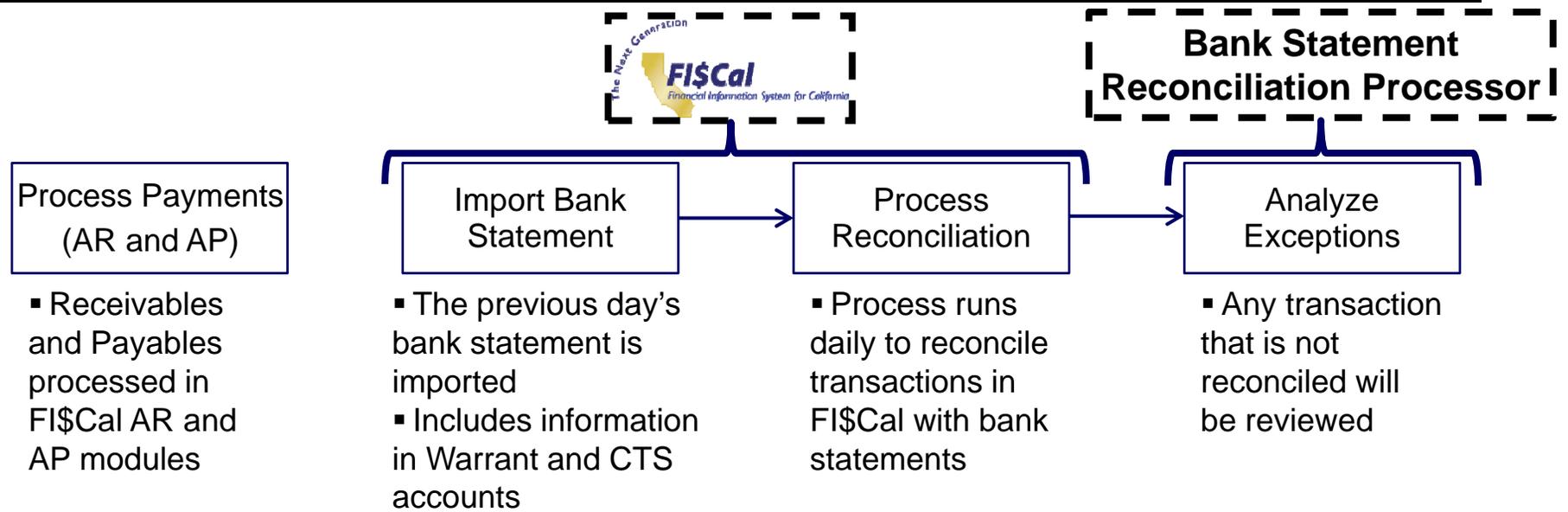
Cash Management Key Terms

Term	Definition
Warrant Account	SCO Account from which warrants are drawn
Bank Statement Reconciliation	The process used to reconcile FI\$Cal transactions to imported bank statement transactions
Item Processing Section (IPS)	The State Treasurer's Office (STO) section whose system generates the Paid Items file
Centralized Treasury Trust System (CTTS)	SCO's system that records department bank statement transactions and provides monthly department bank statements

Cash Management Overview

- Cash Management includes:
 - Creating and maintaining bank accounts
 - Involves the configuration setup of the FI\$Cal Bank (STATE Bank), the CTS Accounts, and the Warrant Account
 - Bank statement reconciliation
 - Process that reconciles system transactions to bank statements and gives control agencies and departments the ability to manage reconciliation
 - Managing cash positions
 - Enables control agencies and departments to view the position of cash in the appropriate bank account

Bank Reconciliation



Key Impacts

- SCO analyzes exceptions for Warrant Accounts
- Departments analyze exceptions for CTS Accounts
- Exceptions may be reviewed daily and must be resolved monthly

Reconcile Statement Illustration

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FI\$Cal

[Bank Balance Entry](#) | [Bank Transaction Entry](#)

Bank ID: 121113423 **Account #:** 122 **Currency:** USD
Statement ID: 87 **Statement Date:** 04/05/2014  **Status:** Ready
Load Date/Time: 04/14/2014 1:32:21PM **Creation Date:** 04/05/2014 

Bank & Balances [Personalize](#) | [Find](#) | [View All](#) |  |  [First](#)  1-2 of 2  [Last](#)

[Bank Balances](#) | [Funds Availability](#) 

*Statement Code	Description	Value Date	Balance		
010	OPENING LEDGER	04/05/2014 	55,610.00	+	-
015	CLOSING LEDGER	04/05/2014 	55,909.00	+	-

[Save](#) | [Return to Search](#) | [Previous in List](#) | [Next in List](#) | [Notify](#) | [Refresh](#) | [Add](#) | [Update/Display](#)

[Bank Balance Entry](#) | [Bank Transaction Entry](#)

Reconcile Statement Illustration

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[Home](#) | [Worklist](#) | [A](#)


New Wind

Bank ID: 121113423 **Account #:** 094 **Currency:**
Statement ID: 188 **Statement Date:** 04/16/2014
Load Date/Time: 04/30/2014 10:52:08AM **Creation Date:** 04/16/2014

Bank Transactions [Personalize](#) | [Find](#) | [View All](#) |

Statement Code	Description	Reference	Bank Date	Transaction Amount▲	Location Code	Trans Code	Reconciliation Status
175 <input type="button" value="Q"/>	CHECK DEPOSIT PACKAGE	1094000003	04/16/2014 <input type="text"/> <input type="button" value="BT"/>	42.00	DAO	Deposits ▼	Unreconciled ▼
475 <input type="button" value="Q"/>	CHECK PAID	000019	04/16/2014 <input type="text"/> <input type="button" value="BT"/>	60.55		Check ▼	Unreconciled ▼
495 <input type="button" value="Q"/>	OUTGOING MONEY TRANSFER	00R0	04/16/2014 <input type="text"/> <input type="button" value="BT"/>	116.45		Funding Receipt ▼	Unreconciled ▼
399 <input type="button" value="Q"/>	MISCELLANEOUS CREDIT	5796	04/16/2014 <input type="text"/> <input type="button" value="BT"/>	119.43		Deposits ▼	Unreconciled ▼
175 <input type="button" value="Q"/>	CHECK DEPOSIT PACKAGE	1094000004	04/16/2014 <input type="text"/> <input type="button" value="BT"/>	230.00	DAO	Deposits ▼	Unreconciled ▼
495 <input type="button" value="Q"/>	OUTGOING MONEY TRANSFER	00R0	04/16/2014 <input type="text"/> <input type="button" value="BT"/>	230.40		Funding Receipt ▼	Unreconciled ▼

Reconcile Statement Illustration

[Favorites](#) > [Main Menu](#) > [Banking](#) > [Analyze Exceptions](#) > [Auto Reconciliation Exceptions](#)

FI\$Cal

Automatic Reconciliation Exceptions *Search By:

Search Criteria

Bank ID:
 Account #:
 Statement ID:
 Currency Code:
 From Date:
 Thru Date:

Auto Reconciliation Exceptions Find | View All | First 1-3 of 3 Last

	Reference	Exception	Bank / Tran Amt	Bank / Tran Date	Bank Tran Type / Tran Status
<input type="checkbox"/> Reconcile	0001826 None	Not Found In System	250.00	10/10/2014	CHK Check
<input type="checkbox"/> Reconcile	1244000153 None	Not Found In System	2,427.50	10/10/2014	D Deposits
<input type="checkbox"/> Reconcile	1244000154 None	Not Found In System	2,646.00	10/10/2014	D Deposits

Select All Clear All

Reconcile Statement Illustration

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New Window

Semi Manual Reconciliation
* Search By: From Date / Thru Date

Search Criteria

Bank ID: Account #: Statement ID:
 From Date: Thru Date: *Reconciliation Status:
 From Amount: To Amount: Currency Code: USD

Advanced Search

Bank Transactions					System Transactions					
Select	Reference	Bank Date	Tran Amt	Type	Select	Reference	Tran Date	Tran Amt	Type	Source
<input type="checkbox"/>	000011	04/16/2014	56,000.00	CHK	<input type="checkbox"/>	0000041	05/05/2014	0.50	CHK	Payables
<input type="checkbox"/>	000010	04/16/2014	200,000.00	CHK	<input type="checkbox"/>	0000042	05/05/2014	8,765.00	CHK	Payables
<input type="checkbox"/>	000020	04/16/2014	500.00	CHK	<input type="checkbox"/>	1094000003	04/08/2014	195.50	D	Receivables
<input type="checkbox"/>	00R0	04/16/2014	2,852.00	U	<input type="checkbox"/>	1094000004	04/08/2014	941.88	D	Receivables
<input type="checkbox"/>	00R0	04/16/2014	858,113.06	U	<input type="checkbox"/>	1094000005	04/08/2014	29.24	D	Receivables

Reconcile Statement Illustration

[Favorites](#) > [Main Menu](#) > [Banking](#) > [Reconcile Statements](#) > [Semi-Manual Reconciliation](#)
Home | Worklist | Ad


New Wind

Semi Manual Reconciliation
* Search By:

Search Criteria

Bank ID:
 Account #:
 Statement ID:

From Date:
 Thru Date:
 Reconciliation Status:

From Amount:
 To Amount:
 Currency Code:

Advanced Search

Bank Transactions						System Transactions					
Select	Reference	Bank Date	Tran Amt	Type		Select	Reference	Tran Date	Tran Amt	Type	Source
<input type="checkbox"/>	000007	04/08/2014	200,000.00	CHK	<input type="button" value="P"/>	<input type="checkbox"/>	000019	03/17/2014	60.55	CHK	Payables
<input type="checkbox"/>	000012	04/08/2014	200,000.00	CHK	<input type="button" value="P"/>	<input type="checkbox"/>	000020	03/17/2014	500.00	CHK	Payables
<input type="checkbox"/>	000008	04/08/2014	56,000.00	CHK	<input type="button" value="P"/>	<input type="checkbox"/>	000021	02/26/2014	140.00	CHK	Payables
<input type="checkbox"/>	1285	04/08/2014	1,088.00	D	<input type="button" value="P"/>	<input type="checkbox"/>	000022	02/27/2014	102.00	CHK	Payables
<input type="checkbox"/>	5796	04/08/2014	346.51	D	<input type="button" value="P"/>	<input type="checkbox"/>	10000009301	03/10/2014	17,515.580	D	Cash Accounting Deposits
<input type="checkbox"/>	5536	04/08/2014	6,855.30	D	<input type="button" value="P"/>						

Reconcile Statement Illustration

Manual Reconciliation

*Search By:

Search Criteria

Bank ID:
 Account #:
 *Status:

From Date:
 Thru Date:
 Method:

Total Transaction Amount: 10,330,918.79 USD

System Transactions
[Personalize](#) | [Find](#) | [View All](#) | |
First 1-32 of 32 Last

Select	Reconciliation Date	Status	Reference	Transaction Date	Transaction Amount	Method	Source	Status	Detail
<input type="checkbox"/>	05/06/2014 <input type="button" value="31"/>	UNR	1094000044	04/29/2014	1,251.25 D		Receivables	Complete	Deposit
<input type="checkbox"/>	05/06/2014 <input type="button" value="31"/>	UNR	1094000045	04/29/2014	126,126.20 D		Receivables	Complete	Deposit
<input type="checkbox"/>	05/06/2014 <input type="button" value="31"/>	UNR	1094333333	05/06/2014	6.68 D		Receivables	None Appl	Deposit
<input type="checkbox"/>	05/06/2014 <input type="button" value="31"/>	UNR	0000023	05/05/2014	19,675.14	CHK	Payables	Paid	Check
<input type="checkbox"/>	05/06/2014 <input type="button" value="31"/>	UNR	0000024	05/05/2014	9,812.00	CHK	Payables	Paid	Check
<input type="checkbox"/>	05/06/2014 <input type="button" value="31"/>	UNR	0000025	05/05/2014	8,765.00	CHK	Payables	Paid	Check

Reconcile Statement Illustration

Manual Reconciliation

*Search By: From Date / Thru Date

Search Criteria

Bank ID:
 Account #:
 *Status: Reconciled

From Date:
 Thru Date:
 Method: ▼

Total Transaction Amount: 195.50 USD

System Transactions
[Personalize](#) | [Find](#) | [View All](#) | |
First 1 of 1 Last

Select	Reconciliation Date	Status	Reference	Transaction Date	Transaction Amount	Method	Source	Status	Detail
<input type="checkbox"/>	<input type="text" value="05/06/2014"/> <input type="button" value="B1"/>	REC	1094000003	04/08/2014	195.50	D	Receivables	Complete	Deposit

Select All
 Clear All

[Process Monitor](#)

Reconcile Statement Illustration

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FI\$Cal

[Bank Balance Entry](#) | [Bank Transaction Entry](#)

Bank ID: 121113423 Account #: 093 Currency: USD
 Statement ID: 177 Statement Date: 07/22/2014  Status: Complete
 Load Date/Time: 08/06/2014 1:25:55PM Creation Date: 07/22/2014 

Bank Transactions Personalize | Find | View All |  |  First 1 of 1 Last

[Transaction Information](#) | [Recon Information](#) | [Addenda Information](#) 

Statement Code▲	Description▲	Reference	Trans Code	Reconciliation Status	Activity Type
108 	CREDIT (ANY TYPE)	120001	Deposits ▼	Reconciled ▼	  

Wave 1 UAT Scenarios

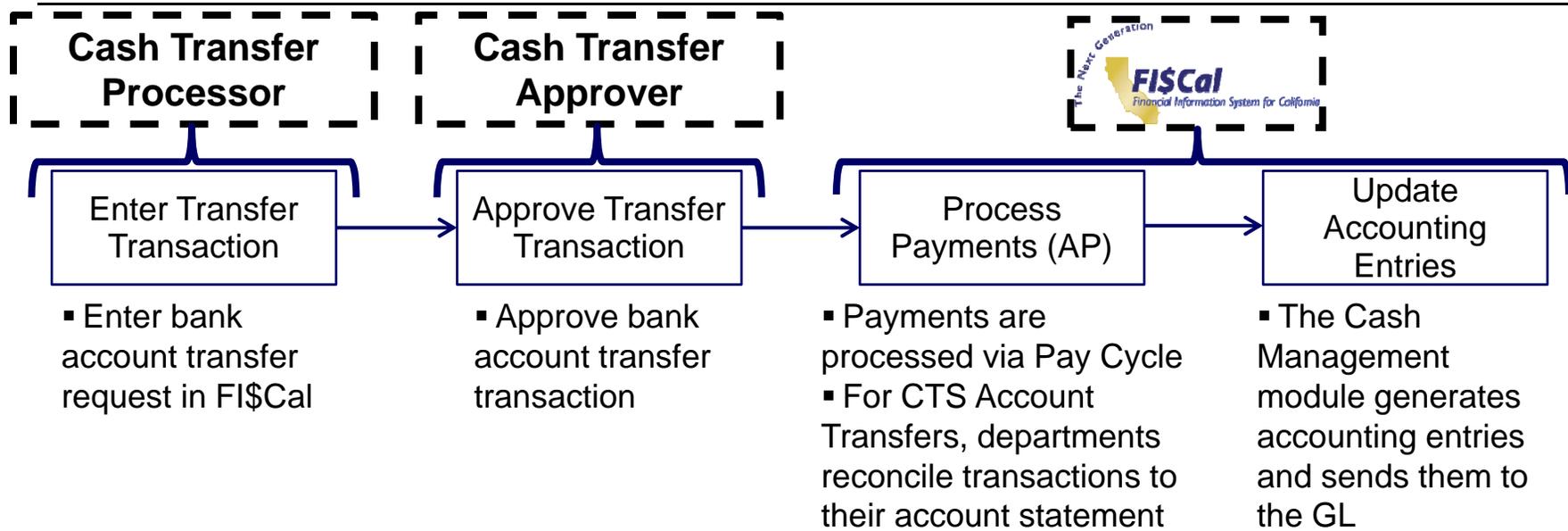
- Review Related UAT Scenarios

Business Process	UAT Scenario	Brief Description
CM2 - Reconcile Statements	Run Automatic Reconciliation	Run automatic reconciliation to reconcile transactions between AP, AR, and CM and the bank statement.

Wave 1 UAT Scenarios

- Collect Additional UAT Scenarios
 - Open dialogue to solicit additional UAT Scenarios for usage during UAT
 - CM2 – Reconcile Statements

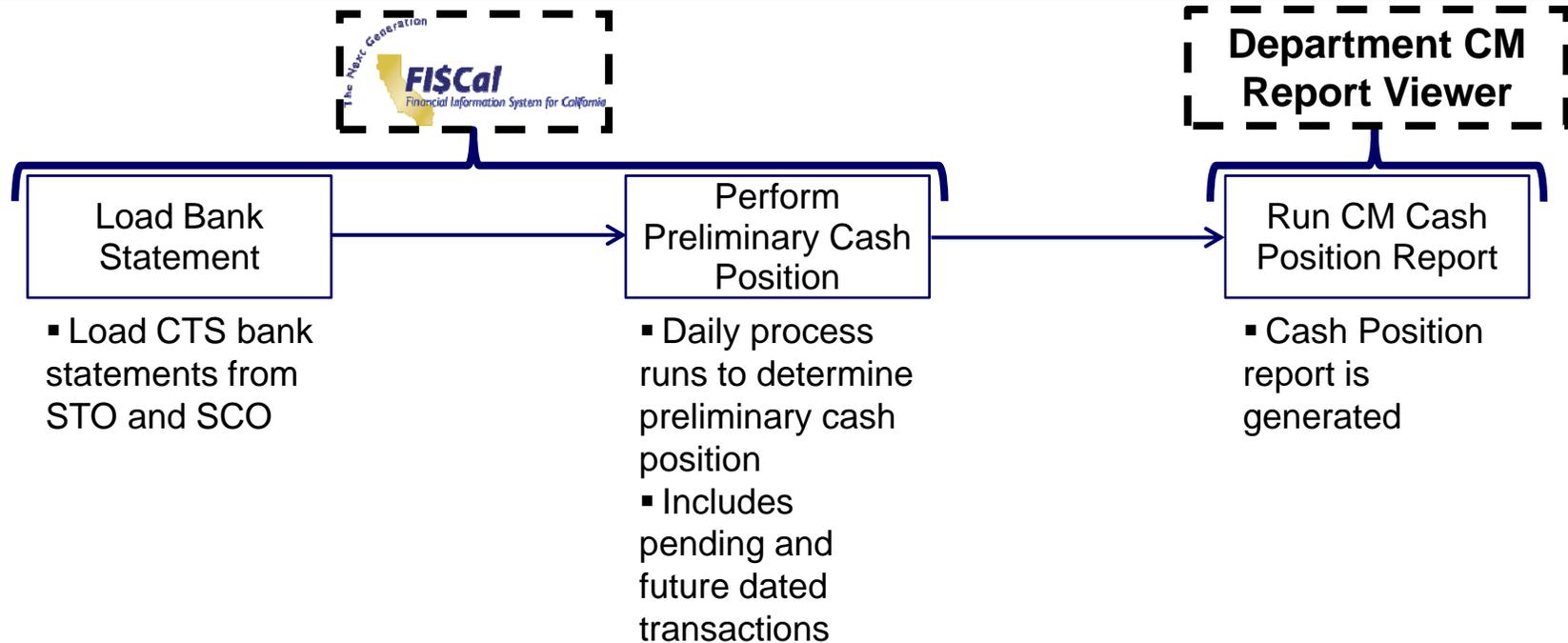
Bank Account Transfers



Key Impacts

- Bank account transfers are used to correct mistakes made in the wrong bank account when recording bank deposits or payments and will move cash between the accounts
- Cross Business Unit transactions will be keyed and approved by FI\$Cal employees after approval has been received from SCO

Manage Cash Position



Key Impacts

- State staff view, monitor, and forecast the balances in any bank account in FI\$Cal associated with their Business Unit
- FI\$Cal provides visibility into pending and future transactions to support Cash Positioning and Cash Balance Forecasting

Bank Account Transfer Illustration

[Favorites](#) > [Main Menu](#) > [Cash Management](#) > [Fees and Transfers](#) > [Bank Account Transfer](#)
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FI\$Cal New

Bank Account Transfer

Bank Transfer Information

Business Unit: 3980 Transfer ID: NEXT Transfer Type: External Transfer
 *Description: Correct Deposit 0980 *Trans Date: 04/29/2014 Chartfields

Template Information

Transfer Template ID: Template Expiration:
 Repetitive Transfer Code:

Transfer From Account

*Bank Code: STATE 121113423 Cash Type: General Cash
 Bank Account: 122 122 Payment Method: ACH Layout: PPD
 *Amount: 1,000.00 Currency: USD US Dollar

Transfer To Account

Bank Code: STATE 121113423 Cash Type: Agency Cash
 Bank Account: 024 024 Instruc: Agency Cash
 General Cash
 Office Revolving Fund Cash

[Event Log](#) [Document Sequencing](#)

Bank Account Transfer Illustration

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New Window | Help | Personalize Page

Select Settlements

[Settlement Search Criteria](#)
[Preferences](#)

Source Unit: %
 Bank Code: %
 Bank Account: %
 Currency: %
 From Date: 04/29/2014
 To Date: 04/29/2014
 *Status: Unselected

Total Payable Amount: USD -1,000.00
 Filter by Source: (All)

Payments - (All)
 Personalize | Find | View All | | | First 1 of 1 Last

Payment Information		Settlement Information		Settlement Details									
Select	Source Unit	Source ID	Business Date	Amount	Curr	Stmnt Inst	Cpty	Status	Bank	Account	Cutoff Time	Cutoff Date	
<input type="checkbox"/>	3980	BAX000000058	04/29/2014	-1,000.00	USD	0024		Unselected	STATE	122		04/29/2014	<input type="button" value="R"/>

Select All
 Clear All

[Favorites](#) > [Main Menu](#) > [Cash Management](#) > [Settlements](#) > [Select Settlements](#)
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New Window | Help | Personalize Page

Select Settlements

[Settlement Search Criteria](#)
[Preferences](#)

Source Unit: %
 Bank Code: %
 Bank Account: %
 Currency: %
 From Date: 04/29/2014
 To Date: 04/29/2014
 *Status: Unselected

Total Payable Amount: USD -1,000.00
 Filter by Source: (All)

Payments - (All)
 Personalize | Find | View All | | | First 1 of 1 Last

Payment Information		Settlement Information		Settlement Details									
Select	Source Unit	Source ID	Business Date	Amount	Curr	Stmnt Inst	Cpty	Status	Bank	Account	Cutoff Time	Cutoff Date	
<input checked="" type="checkbox"/>	3980	BAX000000058	04/29/2014	-1,000.00	USD	0024		Approved	STATE	122		04/29/2014	<input type="button" value="R"/>

Select All
 Clear All

[Review Settlements](#)

Bank Account Transfer Illustration

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FI\$Cal

Bank Account Transfers History

Unit: 0820 **Accounting ID:** 0000000022 **From Bank Code:** STATE **From Bank Account:** 175
Source Code: Bank Xfers **Source ID:** BAX000000021 **To Bank Code:** STATE **To Bank Account:** 021
Acctg Date: 02/21/2014 **Description:** Offline Accounting Build - Bank Transfer **Transaction Date:** 02/21/2014

[People Soft Generated Accounting Entries](#) [Personalize](#) | [Find](#) | [View All](#) | [Print](#) | [First](#) | [1-2 of 2](#) | [Last](#)

Line Detail	Chartfields	Additional Details	Journal	Document Sequencing				
Line	GL Unit	Amount	Currency	Exchg Rate	Exch Rt Dtl	Monetary Amount	Currency Code	IU Anchor
1	1 0820	100000.00	USD	1.00000000		100000.00	USD	<input type="checkbox"/>
2	2 0820	-100000.00	USD	1.00000000		-100000.00	USD	<input checked="" type="checkbox"/>

[Cash Accounting Reclassification Entries](#) [Personalize](#) | [Find](#) | [View All](#) | [Print](#) | [First](#) | [1-4 of 4](#) | [Last](#)

Main Information	Chartfields	Journal Reference Information	Budget	Bank				
Line	Description	Monetary Amount	Tax Authority	GL Business Unit	Currency Code	Ledger	Statistics Code	Statistic
1	1 Cash Reversal	100000.000		0820	USD	MODACCRL		
2	1 Cash Reversal	-100000.000		0820	USD	MODACCRL		
3	2 Cash Reclassification	-100000.000		0820	USD	MODACCRL		
4	2 Cash Reclassification	100000.000		0820	USD	MODACCRL		

Wave 1 UAT Scenarios

- Review Related UAT Scenarios

Business Process	UAT Scenario	Brief Description
CM3 - Manage Cash	Enter a Bank Account Transfer	Request a transfer between CTS bank accounts belonging to the same department and submit it for approval.
CM3 - Manage Cash	Approve/Deny a Bank Account Transfer	Review, approve, and deny a bank account transfer between CTS bank accounts.

Wave 1 UAT Scenarios

- Collect Additional UAT Scenarios
 - Open dialogue to solicit additional UAT Scenarios for usage during UAT
 - CM3 – Managing Cash Positions

Next Steps

- Provide any additional UAT Scenarios (BUSN242) prior to due date
- Review and Complete the CM Scenarios as part of the BUSN242 Response Template due **Friday, February 27, 2015**

Question and Answer



FI\$Cal Project Information:

<http://www.fiscal.ca.gov/>

or e-mail the FI\$Cal Project Team at:

fiscal.cmo@fiscal.ca.gov