



FI\$Cal

Financial Information System for California



Commitment Control Overview

January 13, 2015

Team Introductions

KK Overview - Session Panel:

- Keith Conable
- Tom Marez
- Gina Kwong-McGee
- Cathy Brown

Presentation authors:

- Keith Conable

Presentation contributors:

- Kirk McCain and Jeff Brandyberry
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- Cathy Brown

- ❖ Session Objectives
- ❖ What is Commitment Control (KK)?
- ❖ How does KK execute Budget Checking
 - KK Budget Definition – Concepts/Terms
 - KK Budget Checking – Concepts/Terms
- ❖ Where is Budget Checking recorded?
 - KK Budget Inquiry and Reporting
 - KK Budget Checking Exceptions
- ❖ Commitment Control Overview – Recap
- ❖ Commitment Control Budgets – Wave 2
- ❖ Questions

Session Objectives

- To provide Departments with a non-technical overview of how Commitment Control (KK) functionality within PeopleSoft works.
- To provide Departments with specific details regarding FI\$Cal setup and use of PeopleSoft Commitment Control functionality.

What is Commitment Control (KK)?



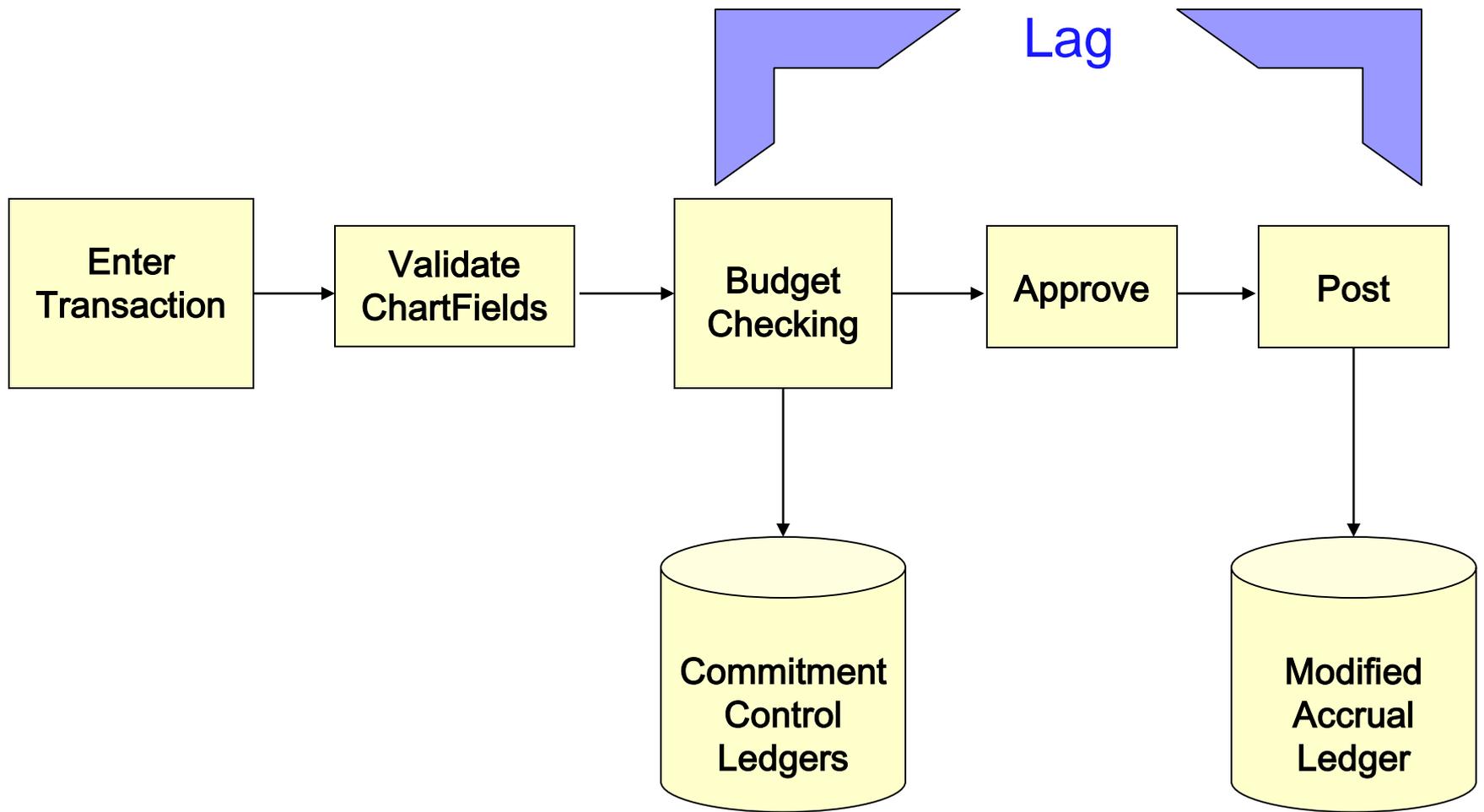
Commitment Control is the structure and rules setup that defines the budget checking process.

Commitment Control enables an organization to budget check its transactions against predefined budgets to achieve budgetary control.

What is Commitment Control (KK)?

- Control Tool – to prevent spending outside of authorizations.
- Management Tool – to record and monitor activities ('actuals') against budgeted targets.
- Reporting Tool – to produce reports on budgets and related activities ('actuals' and remaining spending authority).

Commitment Control – Transaction Flow



How does KK execute Budget Checking?

- **KK structure and budget checking processing rules are set up in the system.**
 - ❑ **Budget Period Calendar**
 - Establishes the begin and end date for each budget period.
 - ❑ **KK Ledgers**
 - Store budget amounts.
 - Store 'actuals' amounts that have been successfully budget checked.
 - ❑ **KK Translation Trees**
 - Enables budgeting at higher levels than the chartfield detail value used for 'actuals' transactions.
 - ❑ **KK Budget Definitions**
 - Defines control options, required chartfields (keys), budget level by chartfield (translation), other budget checking rules (e.g. excluded account types/accounts).

Budget Period Calendar

Budget Period Calendar

SetID: STATE Calendar: BA
 *Periods in a Year: End Date Default: Specify:
 *Description:
 Long Description:

▼ Budget Periods Personalize | Find | View 100 | | First 1-100 of 106 Last

*Budget Period	*Begin Date	*End Date	*Period Name		
2009	07/01/2009	06/30/2010	FY2009/2010	<input type="button" value="+"/>	<input type="button" value="-"/>
2010	07/01/2010	06/30/2011	FY2010/2011	<input type="button" value="+"/>	<input type="button" value="-"/>
2011	07/01/2011	06/30/2012	FY2011/2012	<input type="button" value="+"/>	<input type="button" value="-"/>
2012	07/01/2012	06/30/2013	FY2012/2013	<input type="button" value="+"/>	<input type="button" value="-"/>
2013	07/01/2013	06/30/2014	FY2013/2014	<input type="button" value="+"/>	<input type="button" value="-"/>
2014	07/01/2014	06/30/2015	FY2014/2015	<input type="button" value="+"/>	<input type="button" value="-"/>
2015	07/01/2015	06/30/2016	FY2015/2016	<input type="button" value="+"/>	<input type="button" value="-"/>
2016	07/01/2016	06/30/2017	FY2016/2017	<input type="button" value="+"/>	<input type="button" value="-"/>
2017	07/01/2017	06/30/2018	FY2017/2018	<input type="button" value="+"/>	<input type="button" value="-"/>
2018	07/01/2018	06/30/2019	FY2018/2019	<input type="button" value="+"/>	<input type="button" value="-"/>
2019	07/01/2019	06/30/2020	FY2019/2020	<input type="button" value="+"/>	<input type="button" value="-"/>

KK Budget Definition – Appropriations

Control Budget Options | Ruleset Chartfield | Keys and Translations | Expiration Chartfield | Budget Period Status | Control ChartField | Offsets | ▶

SetID: 8860 Ledger Group: CC_APPROP

Effective Date Find | View All First ◀ 1 of 1 ▶ Last

*Effective Date:	<input type="text" value="01/01/1901"/>	*Status:	<input type="text" value="Active"/>
*Description:	<input type="text" value="Appropriation Budget"/>	Definition Status:	Valid i
Budget Type:	Expense	Associated Expenditure Budget:	<input type="text"/>
Tolerance Percent:	<input type="text"/>	Parent Control Budget:	<input type="text"/>

Ruleset and Control ChartField

*Ruleset CF:	<input type="text" value="Appropriation Refere"/>	Tree Name:	<input type="text"/>	Level Name:	<input type="text"/>
*Control CF:	<input type="text" value="Program"/>	Expiration CF:	<input type="text"/>	Default Ruleset:	DEFAULT

Commitment Control Options

*Control Option:	<input type="text" value="Control"/>	*Budget Status:	<input type="text" value="Open"/>
<input type="checkbox"/> Entries Must Balance		<input type="checkbox"/> Enable Funding Source	
<input type="checkbox"/> Enable Statistical Budgeting			
<input type="checkbox"/> Child Budgets Exceed Option			

Control Budget Options | Ruleset Chartfield | Keys and Translations | Expiration Chartfield | Budget Period Status | Control ChartField | Offsets | Excluded Account Types

KK Budget Definition – Concepts/Terms - - **Control Option**

Every Budget Definition must select a Control Option:

Control or **Track with Budget** or **Track without Budget**

➤ **Control:**

- Transactions that exceed the available budget will error out during the budget checking process.
- No further processing can be performed until the transaction error is corrected by an authorized user or the budget is overridden by an authorized user.



KK Budget Definition – Concepts/Terms - - **Control Option**

➤ **Track with Budget:**

Verifies transactions by checking for a corresponding match of the 'actuals' chartfields to budget chartfields and NOT on monetary amount.

- If there is no corresponding budget, fail budget checking.
- If a corresponding budget exists, even for a zero amount, pass budget checking, but issue warnings.

➤ **Track without Budget:**

Track transactions even if there is no budget set up. All transactions pass without error.

- If there is no budget, transaction passes budget checking.
- If a corresponding budget exists and there are exceptions, pass budget checking and issue warnings.

KK Budget Definition – CC_APPROP - - Control Option

Control Budget Options | Ruleset Chartfield | Keys and Translations | Expiration Chartfield | Budget Period Status | Control ChartField | Offsets | ▶

SetID: 8860 **Ledger Group: CC_APPROP**

Effective Date Find | View All First 1 of 1 Last

*Effective Date: 01/01/1901 *Status: Active

*Description: Appropriation Budget Definition Status: Valid

Budget Type: Expense Associated Expenditure Budget:

Tolerance Percent: Parent Control Budget:

Ruleset and Control ChartField

*Ruleset CF: Appropriation Refere Tree Name: Level Name:

*Control CF: Program Expiration CF: Default Ruleset: DEFAULT

Commitment Control Options

*Control Option: Control *Budget Status: Open

Entries Must Balance Enable Funding Source

Enable Statistical Budgeting

Child Budgets Exceed Option

Save Return to Search Notify Add Update/Display Include History Correct History

Control Budget Options | Ruleset Chartfield | Keys and Translations | Expiration Chartfield | Budget Period Status | Control ChartField | Offsets | Excluded Account Types

KK Budget Definition – Concepts/Terms - - **Keys**

➤ **Definition:** Budget Keys are the ChartFields which are required for all budget journals and all transactions for a given budget structure.

Example: CC_APPROP (Appropriations) Budget Keys

- Account
- Appropriation Reference
- Fund
- Year of Enactment
- Program

All Appropriations budget journals must include these ChartFields.

To pass Appropriations budget checking, all 'actuals' transactions must include these ChartFields.

KK Budget Definition – CC_APPROP - - Keys

Control Budget Options | Ruleset Chartfield | **Keys and Translations** | Expiration Chartfield | Budget Period Status | Control ChartField

SetID: 8860 Ledger Group: CC_APPROP

Effective Date Find | View All First 1 of 1 Last

*Effective Date: 01/01/1901 *Status: Active

*Description: Appropriation Budget Definition Status: Valid Reset

Ruleset Find | View All First 2 of 2 Last

*Ruleset: DEFAULT Default Enable Cumulative Budgeting

Calendar ID: BA Derive Dates Cumulative Calendar:

Keys and Translations Personalize | Find | View All | First 1-5 of 5 Last

*ChartField▲	Tree Name	Level Name	*Value Required
Account	ACCT_COMMCONTROL	LEVEL_4	Required
Appropriation Reference			Required
Fund			Required
Year of Enactment			Required
Program	PRG_COMMCONTROL	LEVEL_3	Required

Save | Return to Search | Notify | Add | Update/Display | Include History | Correct History

Control Budget Options | Ruleset Chartfield | **Keys and Translations** | Expiration Chartfield | Budget Period Status | Control ChartField | Offsets | Excluded Account Types

KK Budget Definition – Concepts/Terms - - **Translations**

➤ **Definition:** Budget translations enable budgeting at a higher level than the transaction detail value for that chartfield. The translation is accomplished through the use of trees.

Example: CC_APPROP (Appropriations) Translations

- Account
 - ➔ Budgeted at Level 4
 - ➔ Transact using the detail Account values

 - Program
 - ➔ Budgeted at Level 3
 - ➔ Transact using the detail Program values
- ☐ Budget checking of Appropriations requires an Account translation tree and a Program translation tree so that the budget checking process can ‘find’ the budget amount related to the detail transaction value.

KK Budget Definition – CC_APPROP - - Translations

Control Budget Options | Ruleset Chartfield | **Keys and Translations** | Expiration Chartfield | Budget Period Status | Control ChartField

SetID: 8860 Ledger Group: CC_APPROP

Effective Date Find | View All First 1 of 1 Last

*Effective Date: 01/01/1901 *Status: Active

*Description: Appropriation Budget Definition Status: Valid Reset

Ruleset Find | View All First 2 of 2 Last

*Ruleset: DEFAULT Default Enable Cumulative Budgeting

Calendar ID: BA Derive Dates Cumulative Calendar:

Keys and Translations Personalize | Find | View All | First 1-5 of 5 Last

*ChartField	Tree Name	Level Name	*Value Required
Account	ACCT_COMMCONTROL	LEVEL_4	Required
Appropriation Reference			Required
Fund			Required
Year of Enactment			Required
Program	PRG_COMMCONTROL	LEVEL_3	Required

Save | Return to Search | Notify | Add | Update/Display | Include History | Correct History

Control Budget Options | Ruleset Chartfield | **Keys and Translations** | Expiration Chartfield | Budget Period Status | Control ChartField | Offsets | Excluded Account Types

KK Translation Tree – Account chartfield

SetID	STATE	Last Audit	Valid Tree
Effective Date	01/01/1901	Status	Active
Tree Name	ACCT_COMMCONTROL	State Acct Tree	

[Save As](#) [Close](#) [Tree Definition](#) [Display Options](#) [Print Format](#) [Export to PDF](#)

0000 >5

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- 0000 - Level :Level 1
 - 1 - Assets Level :Level 2
 - 2 - Liabilities Level :Level 2
 - 3 - Fund Balance Level :Level 2
 - 4 - Revenues Level :Level 2
 - 5 - Expenses** Level :Level 2
 - 50 - Appropriated Expenses Level :Level 4
 - 51 - Personal Services Level :Level 5
 - 510 - Salaries and Wages Level :Level 6
 - [5109000 - 5109ZZZ]
 - 5100 - Civil Service Employees - Perm Level :Level 7
 - [5100000 - 5100ZZZ]
 - 5105 - Exempt/Statutory Employees Level :Level 7
 - 5108 - OT, Holiday & Other Earnings Level :Level 7
 - 515 - Staff Benefits Level :Level 6
 - 519 - Personal Services - Other Level :Level 6
 - 53 - Operating Expense & Equipment Level :Level 5
 - 5301 - General Expense Level :Level 6
 - 5302 - Printing Level :Level 6
 - 5304 - Communications Level :Level 6
 - 5306 - Postage Level :Level 6
 - 5308 - Insurance Level :Level 6
 - 5320 - Travel Level :Level 6
 - 53202 - Travel: In-State Level :Level 7
 - [5320200 - 53202ZZ]
 - [5320490 - 532049Z]
 - 53204 - Transportation - In State Level :Level 8

KK Translation Tree – Reporting Structure chartfield

Tree Manager

SetID 0820

Last Audit Valid Tree

Effective Date 01/01/1901

Status Active

Tree Name RS_REPORTING

Reporting Structure

Save As Close Tree Definition Display Options Print Format Export to PDF

0820 >08205000

Collapse All | Expand All

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How does KK execute Budget Checking?

- **Budget journals are entered and recorded to the applicable KK budget ledger.**
 - ❑ Budget journals use the required chartfields as well as the chartfield values at the translation level specified in the budget definition.

- **‘Actuals’ transactions (e.g. requisitions, purchase orders, vouchers, journal entries) are budget checked.**
 - ❑ Budget checking for ‘Control’ budget definitions will compare the ‘actuals’ amount with the **remaining spending authority (RSA)** for that chartfield combination.
 - ❑ ‘Actuals’ transactions that ‘pass’ budget checking are recorded in the KK Ledgers.

KK Budget Checking – Concepts/Terms - - **RSA**

- **FI\$Cal Appropriations Remaining Spending Authority (RSA):**

$$\begin{array}{c}
 \text{Budget} \\
 - \\
 \text{Encumbrances} \\
 - \\
 \text{Expenditures} \\
 + \\
 \text{Associated Revenue Collected} \\
 = \\
 \text{RSA}
 \end{array}$$

KK Budget Checking – Concepts/Terms - - **Budget Journal**

- **Budget Entry Type** – An identifier that classifies various types of budget journal transactions, including:
 - ❑ Original budget – the initial recording of approved budgeted amount
 - ❑ Budget adjustment – An increase or decrease in a budgeted amount
 - ❑ Budget transfer – The transfer of a budgeted amount within the same Department

- **Budgetary Only ChartField Value** – A ChartField value that can be used in a Budget Journal but not in a financial ‘actuals’ transaction.

KK Budget Checking – CC_APPROP - - Budget Journal

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[Home](#)



Budget Header		Budget Lines		Budget Errors	
Unit:	0820	Journal ID:	0000000005	Date:	04/17/2014
Ledger Group:	CC_APPROP	Fiscal Year:	2013	Period:	10
Control ChartField:	Program	Currency:	USD		
Budget Header Status:	Posted	Rate Type:	CRRNT		
Budget Entry Type:	Original	Exchange Rate:	1.00000000		
Parent Budget Options <input type="checkbox"/> Generate Parent Budget(s) <input type="checkbox"/> Use Default Entry Event Parent Budget Entry Type:		Cur Effdt:	04/17/2014	Budget Type:	Expense
Long Description:		Attachments (0)			
Alternate Description					

Save Return to Search Notify Refresh Add Update/Display

Budget Header | Budget Lines | Budget Errors

KK Budget Checking – CC_APPROP - - Budget Journal

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[Home](#)



[Budget Header](#) | [Budget Lines](#) | [Budget Errors](#)

Unit: 0820 **Journal ID:** 000000005 **Date:** 04/17/2014 **Budget Header Status:** Posted
***Process:**

[Lines](#) [Personalize](#) | [Find](#) | [View All](#) | | First 1-10 of 10 Last

Line▲	Ledger	Budget Period	SpeedType	Approp Ref	Fund	ENY	Account	Program	PC Bus Unit	Project
1	CC_APR_BUD	2013		<input type="button" value="Search"/> 001	0001	2013	50	0435		
3	CC_APR_BUD	2013		<input type="button" value="Search"/> 003	0017	2013	50	0445		
4	CC_APR_BUD	2013		<input type="button" value="Search"/> 004	0032	2012	50	0435		
5	CC_APR_BUD	2013		<input type="button" value="Search"/> 005	0044	2012	50	0440		
6	CC_APR_BUD	2013		<input type="button" value="Search"/> 001	0142	2013	50	0445		
7	CC_APR_BUD	2013		<input type="button" value="Search"/> 002	0158	2013	50	0435		
8	CC_APR_BUD	2013		<input type="button" value="Search"/> 003	0214	2013	50	0440		
9	CC_APR_BUD	2013		<input type="button" value="Search"/> 004	0256	2012	50	0445		
10	CC_APR_BUD	2013		<input type="button" value="Search"/> 005	0569	2012	50	0435		

From Line: To:

Totals

Total Lines:	10	Total Debits:	0.00	Total Credits:	10,000,000,000.00
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[Budget Header](#) | [Budget Lines](#) | [Budget Errors](#)

KK Budget Checking – CC_APPROP - - Budget Journal

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FI\$Cal

[Budget Header](#) | [Budget Lines](#) | [Budget Errors](#)

Unit: 0820 Journal ID: 0000000005 Date: 04/17/2014 Budget Header Status: **Posted**
 *Process:

[Lines](#) Personalize | Find | View All | | First 1-10 of 10 Last

[Chartfields and Amounts](#) | [Base Currency Details](#)

Line	Ledger	Budget Period	PC Bus Unit	Project	Activity	Set Options	Currency	Amount
1	CC_APR_BUD	2013				<input type="button" value="Set Options"/>	USD	1,000,000,000.00
2	CC_APR_BUD	2013				<input type="button" value="Set Options"/>	USD	1,000,000,000.00
3	CC_APR_BUD	2013				<input type="button" value="Set Options"/>	USD	1,000,000,000.00
4	CC_APR_BUD	2013				<input type="button" value="Set Options"/>	USD	1,000,000,000.00
5	CC_APR_BUD	2013				<input type="button" value="Set Options"/>	USD	1,000,000,000.00
6	CC_APR_BUD	2013				<input type="button" value="Set Options"/>	USD	1,000,000,000.00
7	CC_APR_BUD	2013				<input type="button" value="Set Options"/>	USD	1,000,000,000.00
8	CC_APR_BUD	2013				<input type="button" value="Set Options"/>	USD	1,000,000,000.00
9	CC_APR_BUD	2013				<input type="button" value="Set Options"/>	USD	1,000,000,000.00
10	CC_APR_BUD	2013				<input type="button" value="Set Options"/>	USD	1,000,000,000.00

From Line: To:

Totals

Total Lines:	10	Total Debits:	0.00	Total Credits:	10,000,000,000.00
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[Budget Header](#) | [Budget Lines](#) | [Budget Errors](#)

KK Budget Checking - - Budget Journal - - Budget Details

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 [Review Budget Activities](#) >
 [Budgets Overview](#)



Budget Details

Display Chart



Ledger Amounts

Budget:	3,058,220,531.98 USD			Max Rows: <input type="text" value="100"/>
Expense:	2,186,288.87 USD			Attributes
Encumbrance:	4,475,774.91 USD			Parent / Children
Pre-Encumbrance:	73,576.00 USD			Associated Budgets

Associate Revenue: 11,175.00 USD

Available Budget

Without Tolerance:	3,051,569,643.20 	USD	Percent:	(99.78%) 
With Tolerance:	3,051,569,643.20 	USD	Percent:	(99.78%) 

Budget Exceptions

Exception Errors: 0 Exception Warnings: 0

OK

KK Budget Checking - - Budget Journal - - Budget Activity Log

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Activity Log

Ledger: CC_APR_BUD

Activity Log

[Personalize](#) | [Find](#) | [View All](#) | [Print](#) | [First](#) | 1-13 of 13 | [Last](#)

	Tran Line	Document Label	Document ID	Ref Bdgt?	Approp Ref	Fund	ENY	Account	Program	PC Bus Unit	Project	Activity
		1 Journal ID:	0000000005	N	001	0001	2013	50	0435			
		1 Journal ID:	0000000008	N	001	0001	2013	50	0435			
		1 Journal ID:	0000000013	N	001	0001	2013	50	0435			
		1 Journal ID:	0000000166	N	001	0001	2013	50	0435			
		1 Journal ID:	0000000182	N	001	0001	2013	50	0435			
		1 Journal ID:	0000000198	N	001	0001	2013	50	0435			
		1 Journal ID:	0000000222	N	001	0001	2013	50	0435			
		1 Journal ID:	0000000235	N	001	0001	2013	50	0435			
		23 Journal ID:	CNV0000223	N	001	0001	2013	50	0435			
		1 Journal ID:	0000000232	N	001	0001	2013	50	0435			
		1 Journal ID:	0000000218	N	001	0001	2013	50	0435			
		1 Journal ID:	HYP0000121	N	001	0001	2013	50	0435			
		1 Journal ID:	HYP0000123	N	001	0001	2013	50	0435			

OK

KK Budget Checking - - Budget Journal - - Budget Activity Log

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Activity Log

Ledger: CC_APR_BUD

Activity Log

[Personalize](#) | [Find](#) | [View All](#) | [First](#) | 1-13 of 13 | [Last](#)

Budget Period	Year	Period	Foreign Amount	Foreign Currency	Monetary Amount	Base Currency	Budget Entry Type	Auto Generated Parent Ln	Tran ID	Tran Date
2013	2013	10	1,000,000,000.00	USD	1,000,000,000.00	USD	Original	N	0000001180	04/17/2014
2013	2013	10	1,000,000,000.00	USD	1,000,000,000.00	USD	Original	N	0000001249	04/18/2014
2013	2013	10	1,000,000,000.00	USD	1,000,000,000.00	USD	Original	N	0000001271	04/18/2014
2013	2013	10	318.00	USD	318.00	USD	Original	N	0000001759	04/22/2014
2013	2013	10	318.00	USD	318.00	USD	Original	N	0000001773	04/22/2014
2013	2013	10	870.22	USD	870.22	USD	Original	N	0000001791	04/22/2014
2013	2013	10	1,020.03	USD	1,020.03	USD	Original	N	0000001816	04/22/2014
2013	2013	10	-100.00	USD	-100.00	USD	Xfer Orig.	N	0000001826	04/22/2014
2013	2013	12	57,304,687.70	USD	57,304,687.70	USD	Original	N	0000005399	05/25/2014
2013	2013	11	-100.00	USD	-100.00	USD	Xfer Adj.	N	0000005458	05/26/2014
2013	2013	10	1,020.03	USD	1,020.03	USD	Original	N	0000067215	07/08/2014
2013	2013	11	456,249.00	USD	456,249.00	USD	Adjustment	N	0000067238	07/08/2014
2013	2013	11	456,249.00	USD	456,249.00	USD	Adjustment	N	0000067241	07/08/2014

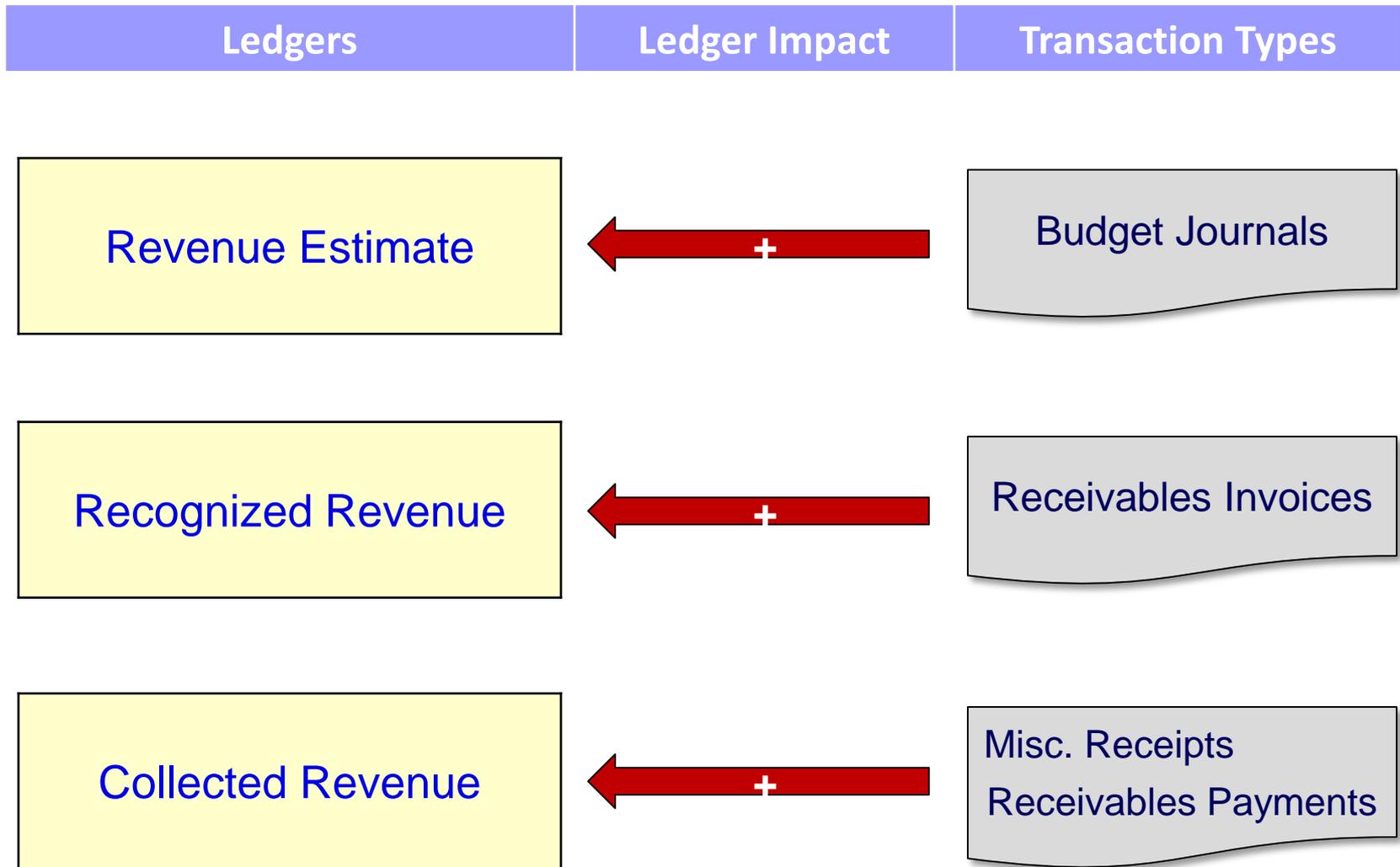
Where is Budget Checking recorded?

- **‘Actuals’ transactions that are successfully budget checked are recorded in the KK Ledgers.**
 - Budget checking a **Requisition** records the transaction in a **KK Pre-Encumbrance Ledger**.
 - Budget checking a **Purchase Order** records the transaction in a **KK Encumbrance Ledger**.
 - If a Requisition is used to create the Purchase Order, then budget checking the Purchase Order will ‘relieve’ the Requisition amount previously recorded in the pre-encumbrance ledger.
 - Budget checking an **AP Voucher** or a **GL Journal Entry** records the transaction in a **KK Expenditure Ledger**.
 - If a Purchase Order is used to create the Voucher, then budget checking the Voucher will ‘relieve’ the Purchase Order amount previously recorded in the encumbrance ledger.

KK Budget Checking – Concepts/Terms - - **KK Ledgers**

Ledgers	Ledger Impact	Transaction Types
Budgets Ledger	← + / -	Budget Journals
Pre-Encumbrances Ledger	← +	Requisitions
Encumbrances Ledger	← -	Purchase Orders
Encumbrances Ledger	← +	Vouchers (AP)
	← -	
Expenditures Ledger	← +	GL Journals
Expenditures Ledger	← +	

KK Budget Checking – Concepts/Terms - - **KK Ledgers**



KK Budget Checking – Budget Inquiry and Reporting

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Commitment Control


Review Budget Activities
[Edit "Review Budget Activities" Folder](#)

Review budget activities.

 Budget Details Review budget details.	 Budgets Overview Review all control budgets.	 Ledger Inquiry Set Combine multiple expense or revenue ledgers for online summary activity review.
 Activity Log Review the budget-checking activity log for processing status.	 Review Fund Source Allocations To view the allocation of funds by funding source and Project or Control ChartField.	 Review Fund Source Activities Review Funding Source Activities

KK Budget Checking – Budget Inquiry and Reporting

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Budget Reports

Budget Reports [Edit "Budget Reports" Folder](#)

Run budget reports.

 <p>Activity Log Create reports listing summary and detail Commitment Control budget activity.</p>	 <p>Associated Budgets Report Print a report listing associated budgets.</p>	 <p>Budgets/Actuals Reconciliation Print the Budgets/Actuals Reconciliation report.</p>
 <p>Budget Attributes Report Print a report listing budget attributes.</p>	 <p>Budget Checking Status Creates report listing budget checking status such as Errors Exist, Only Warnings Exist, or No Errors or Warnings, for transactions that have been processed against a budget</p>	 <p>Budgetary Control Tree Audit Validate budget translation trees.</p>
 <p>Budget Control Report Print a report listing budget definition control options.</p>	 <p>Budget Key Translations Report Print a report listing budget key and translation definitions.</p>	 <p>Budget Periods Report Create the Valid Budget Periods report.</p>
 <p>Budget Status Create a report listing budget, committed, and remaining balance amounts.</p>	 <p>Budget Transaction Detail Create a report listing budget transaction detail.</p>	 <p>Ledger Details Print the Ledger Details report.</p>
 <p>Project Expiration Print the Project Expiration report.</p>	 <p>Agency Reconciliation Report Agency Reconciliation Report</p>	 <p>Final Budget Report Final Budget Report</p>

KK Budget Checking – Budget Overview - - Criteria

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FI\$Cal

Budget Inquiry Criteria

Budget Overview

Inquiry: JZ Description: 

Amount Criteria

Budget Type

*Business Unit:  Ledger Group/Set:  Ledger Group: 

View Stat Code Budgets Appropriation Ledger
 Display Chart 

TimeSpan

*Type of Calendar: 

Budget Criteria Personalize | Find | View All |  |  First 1 of 1 Last

Select	Ledger Group	Calendar ID	From Budget Period	To Budget Period	Include Adjustment Period(s)	Include Closing Adjustments
<input checked="" type="checkbox"/>	CC_APPROP	BA	<input type="text" value="2013"/> 	<input type="text" value="2013"/> 	<input checked="" type="checkbox"/>	<input type="checkbox"/>

ChartField Criteria

ChartField	ChartField From Value	ChartField To	Info	ChartField Value Set	Update/Add
Account	<input type="text" value="%"/> 	<input type="text" value="%"/> 		<input type="text" value=""/> 	Update/Add
ENY	<input type="text" value="2013"/> 	<input type="text" value="2013"/> 		<input type="text" value=""/> 	Update/Add
Fund	<input type="text" value="%"/> 	<input type="text" value="%"/> 		<input type="text" value=""/> 	Update/Add
Program	<input type="text" value="%"/> 	<input type="text" value="%"/> 		<input type="text" value=""/> 	Update/Add
Approp Ref	<input type="text" value="%"/> 	<input type="text" value="%"/> 		<input type="text" value=""/> 	Update/Add
PC Bus Unit	<input type="text" value="%"/> 	<input type="text" value="%"/> 		<input type="text" value=""/> 	Update/Add
Project	<input type="text" value="%"/> 	<input type="text" value="%"/> 		<input type="text" value=""/> 	Update/Add
Activity	<input type="text" value="%"/> 	<input type="text" value="%"/> 		<input type="text" value=""/> 	Update/Add

Budget Status

- Open
- Closed
- Hold

KK Budget Checking – Budget Overview - - Search Results

[Favorites](#) > [Main Menu](#) > [Commitment Control](#) > [Review Budget Activities](#) > [Budgets Overview](#)

[Return to Criteria](#) Max Rows: [Display Options](#) [Search](#)

Ledger Totals (87 Rows)

		Net Transfers:	
Budget:	216,352,780,580.83		-200.00
Expense:	5,313,104.84		
Encumbrance:	5,275,774.91		
Pre-Encumbrance:	73,576.00		
Budget Balance:	216,342,191,701.08		
Associate Revenue:	11,310.00		
Available Budget:	216,342,203,011.08		

Budget Overview Results [Personalize](#) | [Find](#) | [View All](#) | [Print](#) | [Refresh](#) First Last

		Budget	Budget	Expense	Encumbrance	Pre-Encumbrance	Available Budget*	Percent Available
1		2013	1,000,000,000.000	385.000	0.000	0.000	999,999,615.000	100.00
2		2013	3,058,220,531.980	2,186,288.870	4,475,774.910	73,576.000	3,051,558,468.200	99.78
3		2013	30,958,565.830	41,095.000	0.000	0.000	30,917,470.830	99.87
4		2013	2,000.000	0.000	0.000	0.000	2,000.000	100.00
5		2013	29,781,446.580	0.000	0.000	0.000	29,781,446.580	100.00
6		2013	42,454,440.110	801,365.670	800,000.000	0.000	40,853,074.440	96.23
7		2013	200,000,000,000.000	0.000	0.000	0.000	200,000,000,000.000	100.00
8		2013	-43,043,567.990	-2,393.260	0.000	0.000	-43,041,174.730	99.99
9		2013	1,500,000.000	0.000	0.000	0.000	1,500,000.000	100.00
10		2013	987,132.310	1,511.310	0.000	0.000	985,621.000	99.85
11		2013	5,684.050	0.000	0.000	0.000	5,684.050	100.00
12		2013	2,468.040	0.000	0.000	0.000	2,468.040	100.00
13		2013	0.000	0.000	0.000	0.000	0.000	0.00
14		2013	2,000,000,000.000	31,450.500	0.000	0.000	1,999,968,549.500	100.00
15		2013	34,369,405.040	0.000	0.000	0.000	34,369,405.040	100.00

KK Budget Checking – Budget Overview - - Budget Details

[Favorites](#) > [Main Menu](#) > [Commitment Control](#) > [Review Budget Activities](#) > [Budgets Overview](#)



Budget Details

Display Chart 

Ledger Amounts

Budget:	3,058,220,531.98 USD			Max Rows: <input type="text" value="100"/>
Expense:	2,186,288.87 USD			Attributes
Encumbrance:	4,475,774.91 USD			Parent / Children
Pre-Encumbrance:	73,576.00 USD			Associated Budgets

Associate Revenue: 11,175.00 USD

Available Budget

Without Tolerance:	3,051,569,643.20 	USD	Percent:	(99.78%) 
With Tolerance:	3,051,569,643.20 	USD	Percent:	(99.78%) 

Budget Exceptions

Exception Errors: 0 Exception Warnings: 0

OK

KK Budget Checking – Budget Overview - - Activity Log

Activity Log

Ledger: CC_APR_EXP

Activity Log												Personalize	Find	View	100	First	498-597 of 597	Last
	Tran Line	Document Label	Document ID	Ref Bdgt?	Approp Ref	Fund	ENY	Account	Program	Budget Period	Year							
		20 Journal ID:	0000003011	N	001	0001	2013	50	0435	2013	2013							
		21 Journal ID:	0000003011	N	001	0001	2013	50	0435	2013	2013							
		22 Journal ID:	0000003011	N	001	0001	2013	50	0435	2013	2013							
		1 Project ID:	0000000000000002	N	001	0001	2013	50	0435	2013	2013							
		2 Project ID:	0000000000000002	N	001	0001	2013	50	0435	2013	2013							
		3 Project ID:	000000000000106	N	001	0001	2013	50	0435	2013	2013							
		4 Project ID:	000000000000106	N	001	0001	2013	50	0435	2013	2013							
		5 Project ID:	000000000000106	N	001	0001	2013	50	0435	2013	2013							
		6 Project ID:	000000000000106	N	001	0001	2013	50	0435	2013	2013							
		3 Project ID:	000000000000106	N	001	0001	2013	50	0435	2013	2013							
		4 Project ID:	000000000000106	N	001	0001	2013	50	0435	2013	2013							
		3 Project ID:	000000000000112	N	001	0001	2013	50	0435	2013	2014							
		4 Project ID:	000000000000112	N	001	0001	2013	50	0435	2013	2014							
		1 Employee ID:	800000000002	N	001	0001	2013	50	0435	2013	2013							
		1 Employee ID:	800000000004	N	001	0001	2013	50	0435	2013	2013							

OK

KK Budget Checking – Budget Overview - - Activity Log

Activity Log

Ledger: CC_APR_EXP

Activity Log										
Personalize Find View 100										
First 498-597 of 597 Last										
Program	Budget Period	Year	Period	Foreign Amount	Foreign Currency	Monetary Amount	Base Currency	Tran ID	Tran Date	
0435	2013	2013	12	15.00	USD	15.00	USD	0000067348	07/09/2014	
0435	2013	2013	12	15.00	USD	15.00	USD	0000067348	07/09/2014	
0435	2013	2013	12	15.00	USD	15.00	USD	0000067348	07/09/2014	
0435	2013	2013	11	200.00	USD	200.00	USD	0000003476	05/04/2014	
0435	2013	2013	11	50.00	USD	50.00	USD	0000003476	05/04/2014	
0435	2013	2013	11	-10,000.00	USD	-10,000.00	USD	0000004725	05/12/2014	
0435	2013	2013	11	-40,000.00	USD	-40,000.00	USD	0000004725	05/12/2014	
0435	2013	2013	12	10,750.00	USD	10,750.00	USD	0000029556	06/04/2014	
0435	2013	2013	12	43,000.00	USD	43,000.00	USD	0000029556	06/04/2014	
0435	2013	2013	12	-10,000.00	USD	-10,000.00	USD	0000049829	06/06/2014	
0435	2013	2013	12	-40,000.00	USD	-40,000.00	USD	0000049829	06/06/2014	
0435	2013	2014	1	-4,300.00	USD	-4,300.00	USD	0000066908	07/03/2014	
0435	2013	2014	1	-1,075.00	USD	-1,075.00	USD	0000066908	07/03/2014	
0435	2013	2013	8	0.00	USD	0.00	USD	0000002624	04/28/2014	
0435	2013	2013	8	0.00	USD	0.00	USD	0000002627	04/28/2014	

OK

KK Budget Checking – Budget Status Report

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FI\$Cal

Commitment Control Budget Status Report

Run Control ID: 00 Report Manager Process Monitor

Language: English Process Instance: 66990

Report Request Parameters

*Unit: 0820 Department of Justice
 *Ledger Group: CC_APPROP Appropriation Ledger

ChartField Selection Personalize | Find | First 1-14 of 14 Last

Sequence	ChartField Name	Include CF	Subtotal	Value	To Value
<input type="text" value="1"/>	Account	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="2"/>	Fund	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="3"/>	Program	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="4"/>	Appropriation Reference	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="5"/>	Year of Enactment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	2013	2013
<input type="text"/>	Agency Use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	Reporting Structure	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	Activity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	Service Location	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	Budget Period	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	PC Business Unit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	Project	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	Source Type	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	Statistics Code	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>

KK Budget Checking – Budget Status Report

Report ID: GLS8020
 Bus. Unit: 0820--Department of Justice
 Ledger Grp: CC_APPROP -- Appropriation Ledger
 Currency : USD
 Chartfields Criteria
 Account: All values

PeopleSoft GL
 BUDGET STATUS REPORT

Page No. 4
 Run Date 07/10/2014
 Run Time 01:56:08

Fund: All values

Program: All values

Approp Ref: All values

ENY: 2013

Account	Fund	Program	Approp Ref	ENY	Budget	Assoc Revenue	PreEncumbrance	Encumbrance	Expense	Remaining
Subtotal for Fund 3086 :					35,922,244.20	0.00	0.00	0.00	0.00	35,922,244.20
50	3087	0435	001	2013	4,315,764.85	0.00	0.00	0.00	0.00	4,315,764.85
50	3087	9990	001	2013	0.00	0.00	0.00	0.00	0.00	0.00
Subtotal for Fund 3087 :					4,315,764.85	0.00	0.00	0.00	0.00	4,315,764.85
50	3088	0435	001	2013	1,276,149.98	0.00	0.00	0.00	0.00	1,276,149.98
50	3088	0445	001	2013	64,229.89	0.00	0.00	0.00	0.00	64,229.89
50	3088	9990	001	2013	0.00	0.00	0.00	0.00	0.00	0.00
Subtotal for Fund 3088 :					1,340,379.87	0.00	0.00	0.00	0.00	1,340,379.87
50	3131	0440	002	2013	48,000.00	0.00	0.00	0.00	0.00	48,000.00
50	3240	0445	001	2013	1,028,992.91	0.00	0.00	0.00	0.00	1,028,992.91
50	8071	0435	001	2013	5,367,867.00	0.00	0.00	0.00	0.00	5,367,867.00
50	8071	9990	001	2013	0.00	0.00	0.00	0.00	0.00	0.00
50	8071	9990	501	2013	0.00	0.00	0.00	0.00	0.00	0.00
Subtotal for Fund 8071 :					5,367,867.00	0.00	0.00	0.00	0.00	5,367,867.00
50	9731	0435	001	2013	68,196,531.11	0.00	0.00	0.00	0.00	68,196,531.11
50	9731	0440	001	2013	3,228,408.89	0.00	0.00	0.00	0.00	3,228,408.89
50	9731	9990	001	2013	0.00	0.00	0.00	0.00	0.00	0.00
Subtotal for Fund 9731 :					71,424,940.00	0.00	0.00	0.00	0.00	71,424,940.00
50	9740	0435	001	2013	726,601.68	0.00	0.00	0.00	0.00	726,601.68
50	9740	9990	001	2013	0.00	0.00	0.00	0.00	0.00	0.00
Subtotal for Fund 9740 :					726,601.68	0.00	0.00	0.00	0.00	726,601.68
Grand Total :					216,311,947,706.39	11,310.00	73,576.00	5,275,774.91	5,314,905.94	216,301,368,335.54

End of Report

KK Budget Checking – Budget Exceptions

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Review Budget Check Exceptions

Review Budget Check Exceptions [Edit "Review Budget Check Exceptions" Folder](#)

Review budget-check exceptions.

<p> Purchasing and Cost Management Review budget check exceptions from purchasing and cost management transactions</p> <ul style="list-style-type: none"> Requisition Requisition Non-prorated Purchase Order 5 More... 	<p> Travel and Expenses Review budget check exceptions from travel and expenses transactions</p> <ul style="list-style-type: none"> Travel Authorization Expense Sheet 	<p> Accounts Payable Review budget check exceptions from accounts payable transaction</p> <ul style="list-style-type: none"> Voucher Voucher Non-prorated Voucher Accounting Line Period End Accruals
<p> Project and Grants Review budget check exceptions from project and grants transactions</p> <ul style="list-style-type: none"> Project Journal Facilities Administration Project Budget 	<p> Revenues Review budget check exceptions from revenues transaction</p> <ul style="list-style-type: none"> Billing Invoice Revenue Estimate Direct Journal 	<p> General Ledger Review budget check exceptions from general ledger transactions</p> <ul style="list-style-type: none"> Journal Payroll Generic Transaction Entry 4 More...
<p> Budget Checking Status Review the status of the budget-checking process.</p>	<p> Budget Exceptions Identify, adjust, and override transactions that do not pass budget checking.</p>	<p> Source Header Unlock Unlock commitment control source header.</p>

KK Budget Checking – Budget Exceptions

Common Budget Exceptions	Description	Tools/Steps to research/resolve
Exceeds Budget Tolerance	Transaction amounts are over the spending authority of a controlled budget.	
No Budget Exists	No established budget is found for the transaction.	
Required key CF is blank	Budget requires a ChartField (Key) that is blank in the transaction.	
Translation Tree error	Transaction ChartFields are rolling up to a budgetary value that is not at the right level of the tree or doesn't exist on the tree.	
Budget is Closed		

KK Budget Checking – Budget Exceptions

Favorites ▾ Main Menu ▾ > Commitment Control ▾ > Review Budget Check Exceptions ▾ > Accounts Payable ▾ > Voucher



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New Window | Help | Personalize Page

Voucher Exceptions | Line Exceptions

Business Unit: 0820 Voucher ID: 00000473 

*Exception Type

Maximum Rows

Override Transaction   

More Budgets Exist

Search

[Advanced Budget Criteria](#)

Budgets with Exceptions

Personalize | Find | View All |   First 1-2 of 2 Last

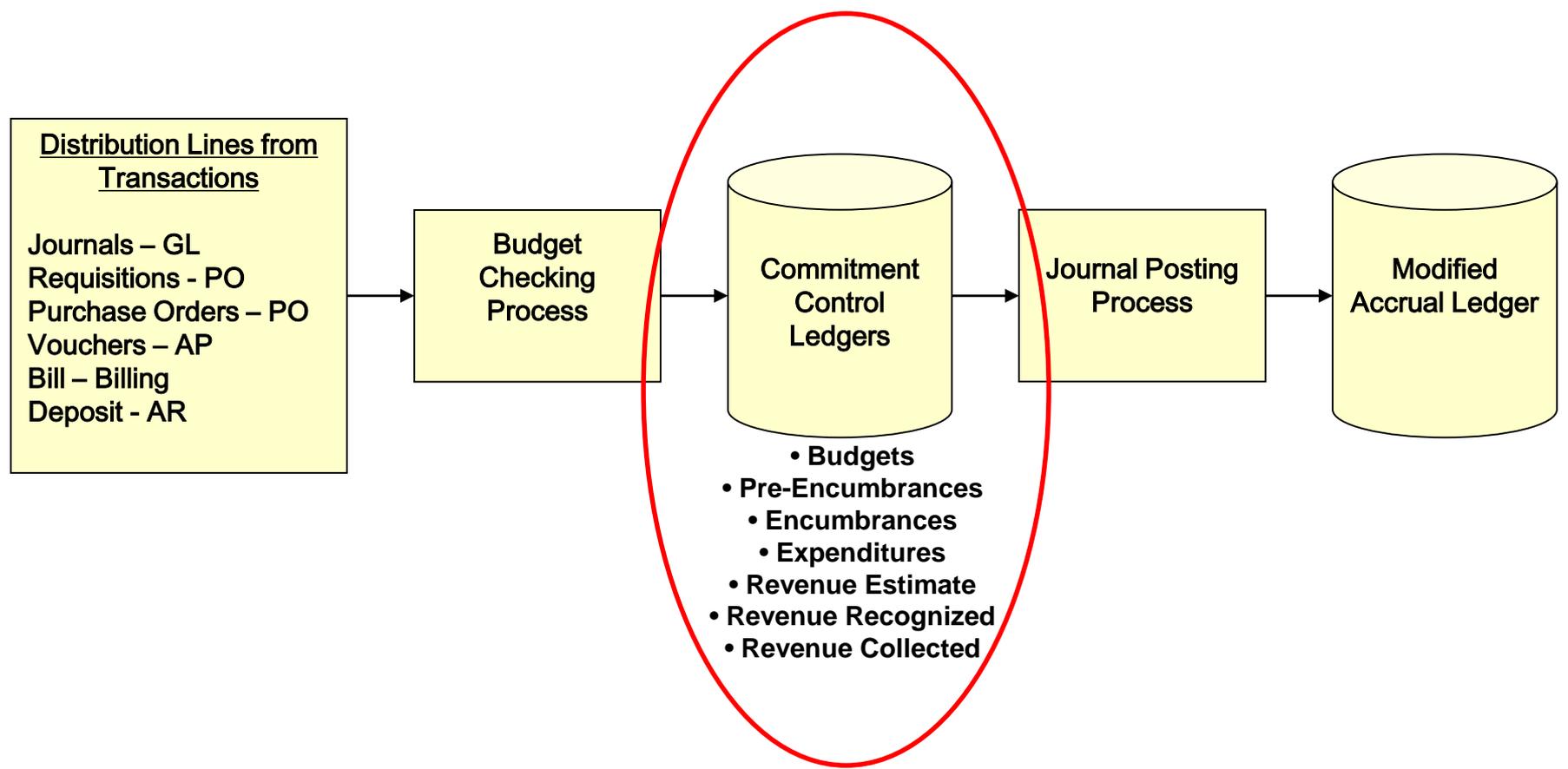
Budget Override		Budget Chartfields					
Details	Business Unit	Ledger Group	Exception	More Detail	Override Budget	Transfer	
1 	0820	CC_APPROP	No Budget Exists	More Detail	<input type="checkbox"/>	Go To ... 	
2 	0820	CC_LABOR	No Budget Exists	More Detail	<input type="checkbox"/>	Go To ... 	

 Save  Return to Search  Previous in List  Next in List  Notify

Voucher Exceptions | Line Exceptions

Commitment Control Overview - Recap

Budgetary control is enforced on 'actuals' transactions in all FI\$Cal modules through the use of KK structure/rules and the budget checking process.



Commitment Control Budgets – Wave 2

- FI\$Cal is using KK to support the establishment of spending authority at differing levels within state government.
 - Appropriation and Life to Date (LTD) Project budget balances will be converted for the Wave 2 departments.
 - Department of Finance will create and approve Appropriation Hyperion budget requests. These budget requests will be posted in FI\$Cal KK to establish Appropriation spending authority.
 - Departments will document operating budget amounts utilizing the current business process. The amounts will be posted to FI\$Cal KK using budget journals to establish Department spending authority.

Questions

