



FI\$Cal

Financial Information System for California

FI\$Cal Solution Walkthrough (SWT): Grants Management

July 2016 Departmental Release

Agenda

- Solution Walkthrough Objectives
- Grants Management Solution Overview
- Grants Processes
 - Create Proposal
 - Generate Award
 - Update Award Profile
 - Process Grants
- Technology Considerations
- FI\$Cal Grants Management Demonstration
- Q&A session

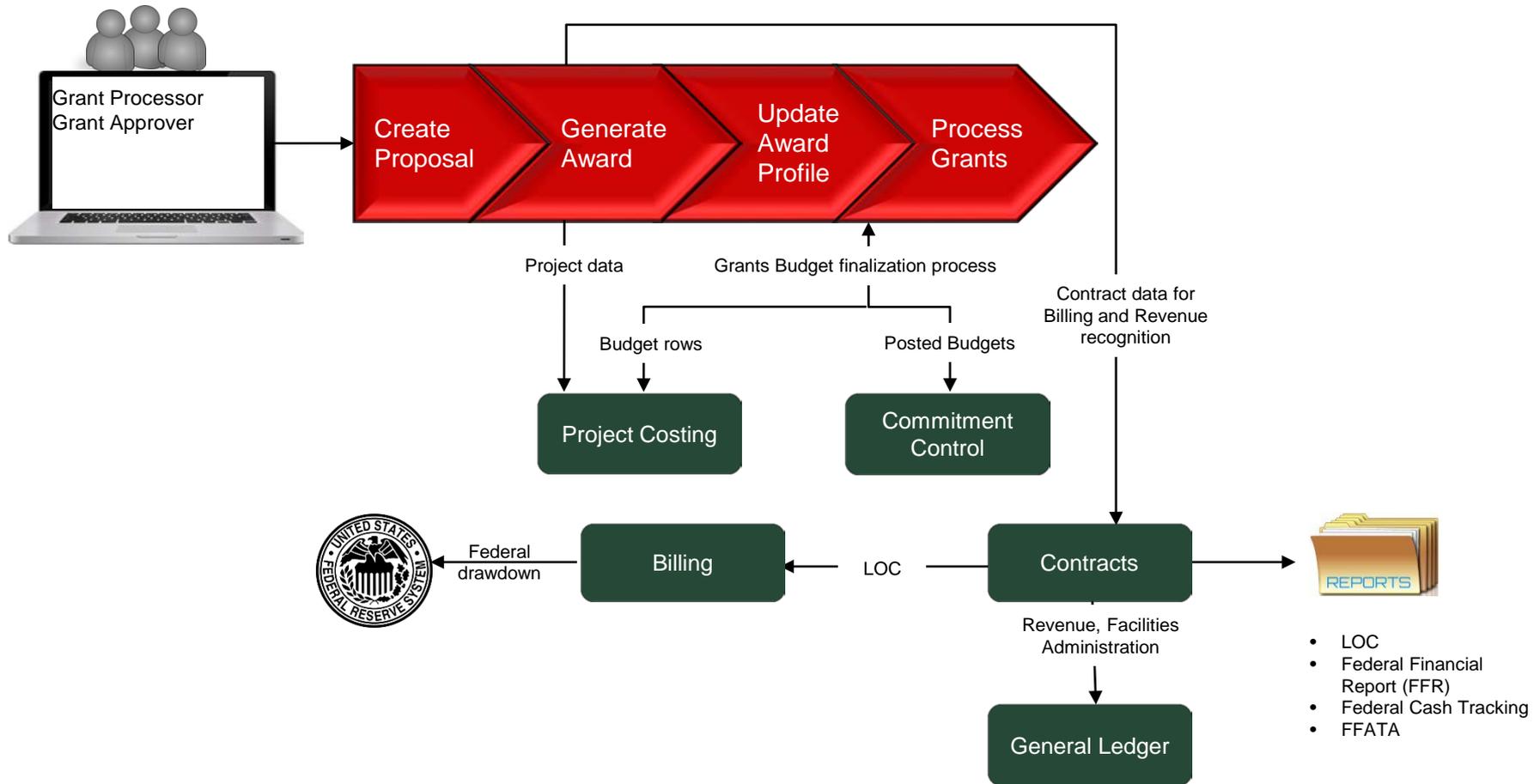


SWT Objectives

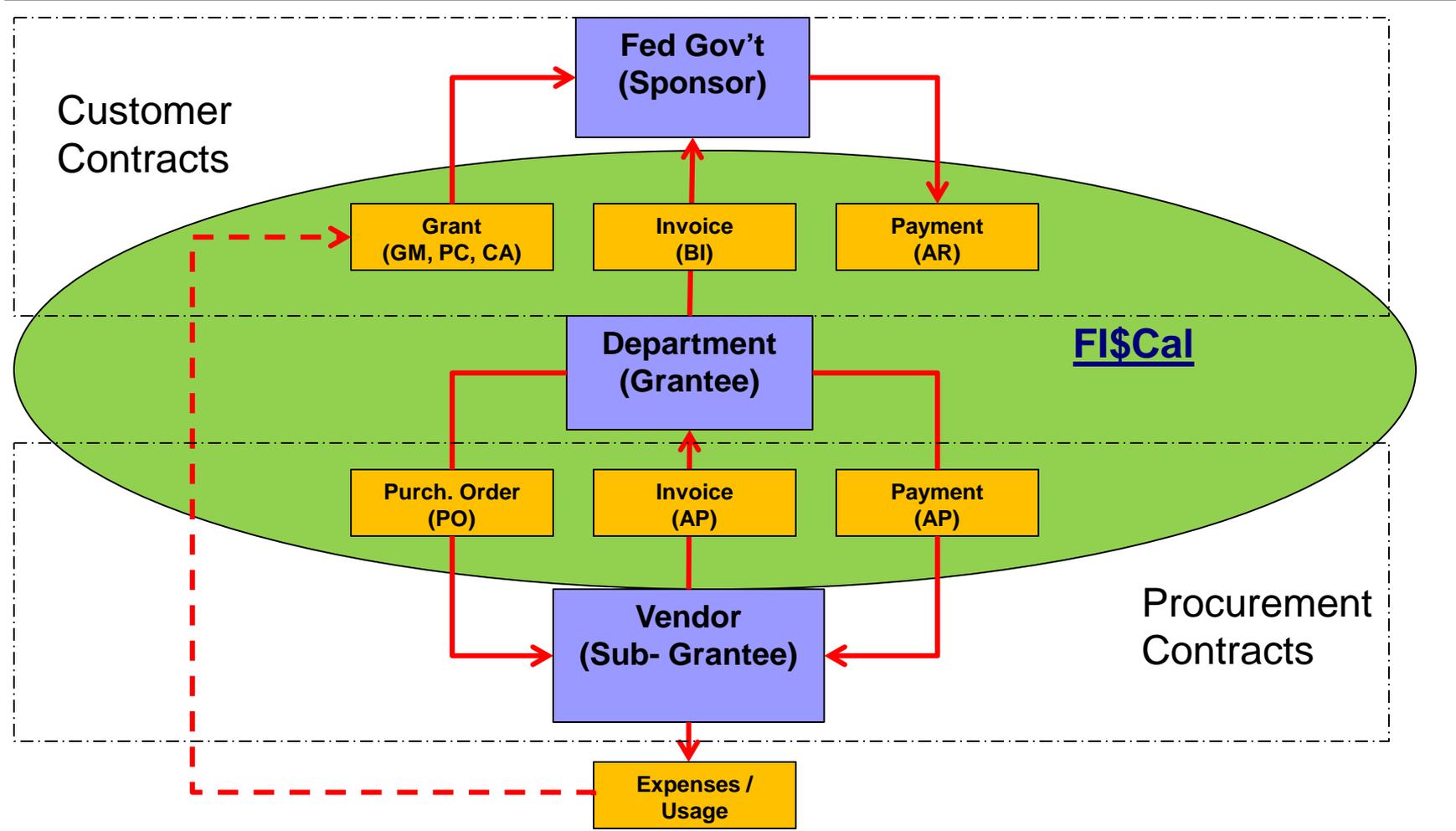
The Solution Walkthroughs will provide:

- An overview of the business processes, including key terms and functionalities
- A demonstration of the FI\$Cal solution
- “To-Be” business processes

Grants Management Overview



Grants Management Overview



Grants Management Overview

Budget Act – Federal Fund (0890)

3960-001-0890—For support of Department of Toxic Substances Control, payable from the Federal Trust Fund	30,500,000
Schedule:	
(1) 3620011-Other Site Mitigation Activities	21,579,000
(2) 3625-Hazardous Waste Management	8,493,000
(3) 3630-Safer Consumer Products	428,000
Provisions:	

Key Takeaway

- If department has federal (0890) funding source, department is required to use Project Costing, Grants and Customer Contracts.

Grants Management Overview

Budget Act – Appropriated Capital Outlay

3125-301-0005—For capital outlay, California Tahoe Conservancy, payable from the Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund	814,000
Schedule:	
(1) 0000159-Land Acquisition and Site Improvements for Implementation of the Environmental Improvement Program for the Lake Tahoe Basin, pursuant to Title 7.42 (commencing with Section 66905) of the Government Code.....	814,000

Key Takeaway

- If department has appropriated capital outlay funding source of state fund (e.g. 0005), department is required to use Project Costing only.
- If department has appropriated capital outlay funding with federal fund (0890), then use Project Costing, Grants and Customer Contracts.
- If department has appropriated capital outlay funding source with reimbursement, then use Project Costing and Customer Contracts.

Grants Management Overview

Budget Act – Reimbursements

8660-001-0462—For support of Public Utilities Commission, payable from the Public Utilities Commission Utilities Reimbursement Account	93,506,000
Schedule:	
(1) 9900100-Administration	44,924,000
(2) 9900200-Administration—Distributed	-44,924,000
(3) 6680055-Energy	117,639,000
(4) 6680064-Water/Sewer.....	11,234,000
(5) 6680073-Communications	23,077,000
(6) Reimbursements to 6680055-Energy	-58,444,000

Key Takeaway

- If department has REIMBURSEMENT funding source, department is required to use Project Costing and Customer Contracts

FI\$Cal's Grants Management Benefits

- Manage the complete grant life cycle from proposal to award
- Capture profile data about your institution (State), sponsors (Feds or Other), professionals, and sub-recipients (vendors) for each proposal, project, budget, or award
- Facilitate the submission of timely, accurate, and complete proposals to sponsors
- Integrates with Project Costing for cost collection and budgeting
- Integrates with Customer Contracts for Billing and Revenue recognition
- Ability to create and post budgets
- Ability to apply Facilities & Admin (Indirect Cost Rate) rates



Grants Management Key Terms

Term	Definition
Grant	Sponsored Contract Award Agreement where the State is receiving funding from federal government or private sources.
Sponsor	Federal or other Awarding Entity who is responsible for funding the award. Sponsor is built on the Customer table.
Project	Required FI\$Cal ChartField value used for tracking financial transactions associated with a Grant/Award.
Activity	Required FI\$Cal Chartfield mapped to a Project.

Grants Management Key Terms

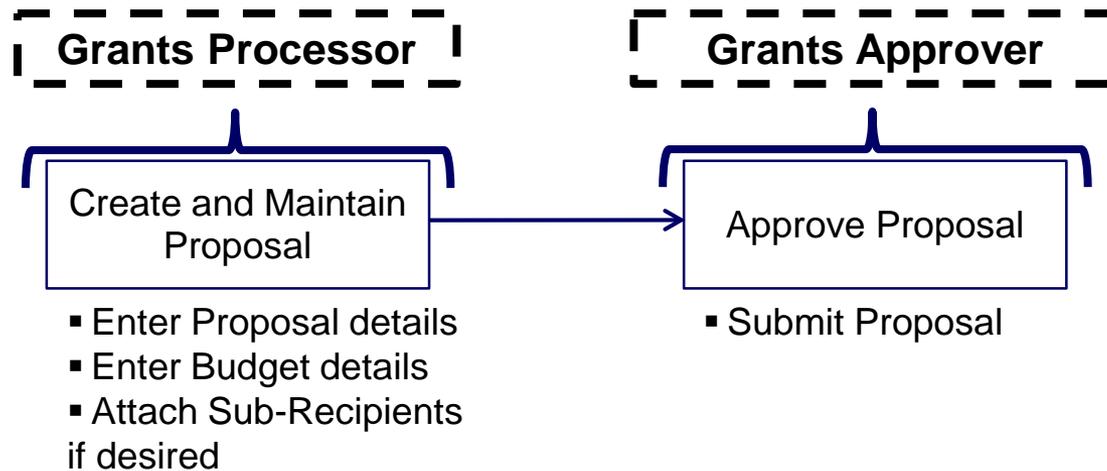
Term	Definition
Customer Contract	Required for processing Billing and Revenue Recognition. Stores billing and revenue terms.
Vendor	Entities receiving payments from the State.
Procurement Contract	Also referred to as a Vendor or Supplier Contract. An agreement with an individual or entity (e.g. vendor, grantee, finance/ leasing company) that the state will pay. This includes the transactional contract and the contract document.

Create Proposal

- Optional Functionality
- Key to automatic integration for Award Profile, Customer Contract, Project, and Budgets
- Identifies
 - Principal Investigator (also called grants manager)
 - Catalog of Federal Domestic Assistance (CFDA) number
 - Proposal Start and End dates
 - Sponsor ID
- Once the Proposal is fully created, it is submitted.
 - There is no workflow that requires Proposal to be approved after it has been submitted.
 - There are separate roles to Process and Submit a Proposal.



Create Proposal



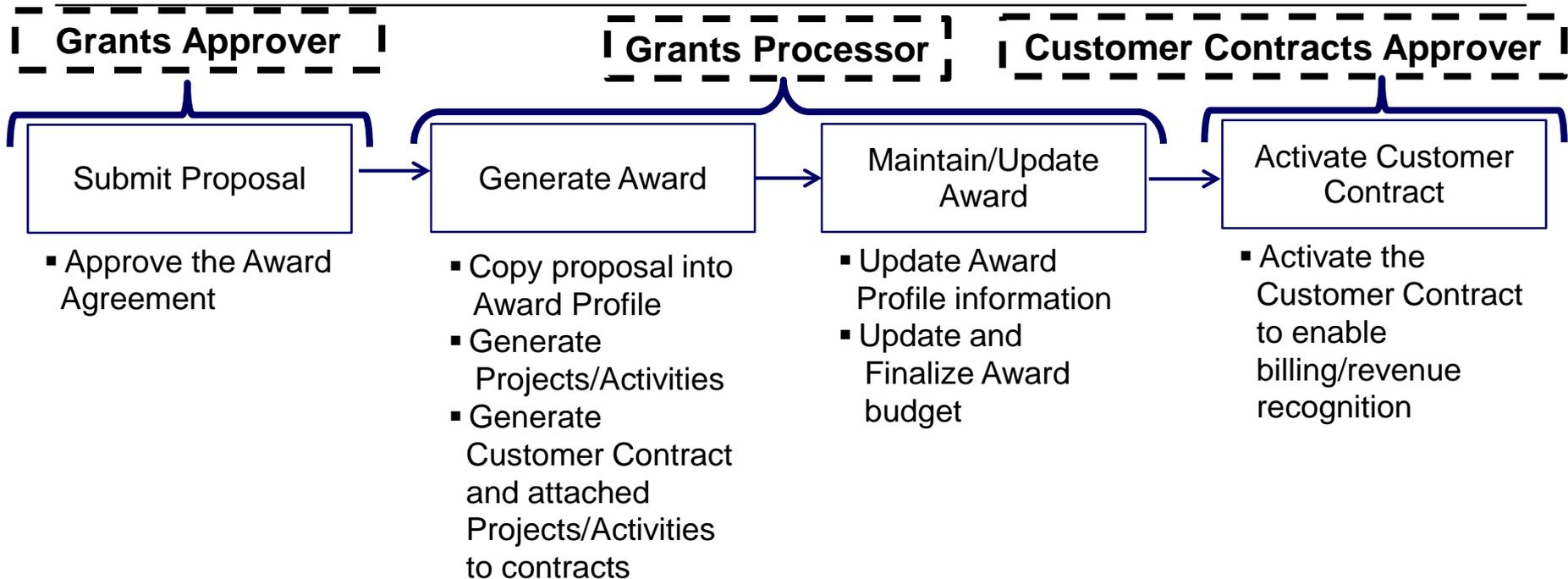
Key Impacts

- Proposals are optional but are key to system integration.
- Proposals do not trigger processing within the system – equivalent to a pending award.
- Most proposal information can be updated/added on the Award after generation.

Generate Award

- Data from Proposal is automatically copied into the Award
- When an Award is generated:
 - Award Profile is created
 - The Proposal ID becomes the Award ID
 - A Customer Contract ID is auto-generated with the same ID (a Customer Contract contains billing and revenue recognition terms for the Award)
 - Project and Activity definitions are created
 - Budget can be posted to Commitment Control and Project Costing when finalized
 - Grants uses its own Ledger Groups
 - Budgets must be maintained in the Grants Module and can be broken down into Split fund/State share

Generate Award



Key Impacts

- Award is generated from submitted Proposal.
- Generate Award will create Projects/Activities and Customer Contracts automatically.
- Budgets not posted to Project Costing and Commitment Control until Finalized.

Update Award Profile

- Award Profile is updated when the following occur:
 - Enter Reference Award number (this is provided by the Federal government and used for Drawdown process)
 - Change the Award PI
 - Change the CFDA number
 - Change the Start and End dates of the Award
 - Enter Sub-recipients if applicable
 - Enter Purchase Order if applicable



Process Grants

F&A (Indirect)

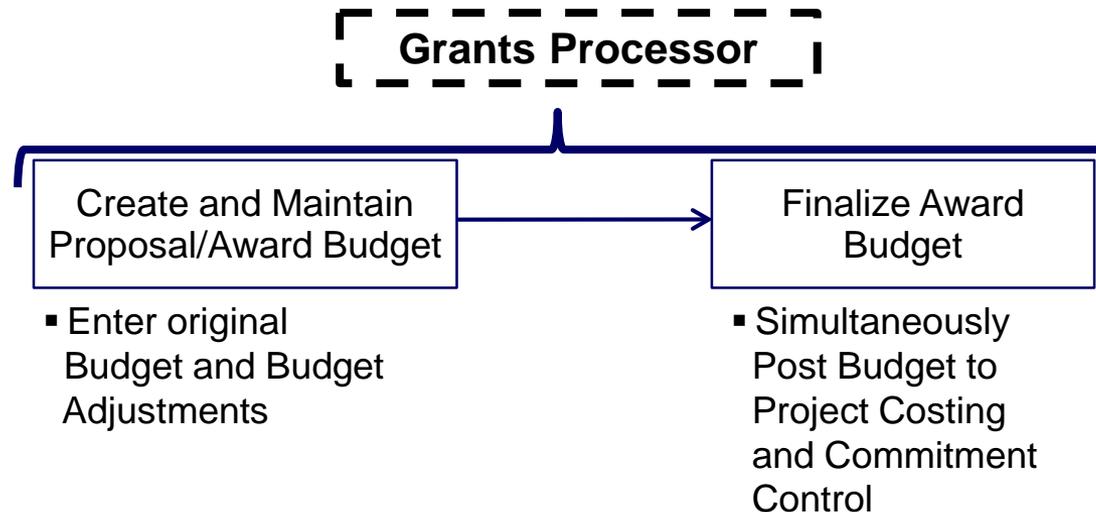
Automatically applies Facilities and Administration (F&A) rates on eligible transactions.

Report

Federal Financial Report (FFR) – Departments have the ability to run this report. The report contains following key features:

- Sponsor information
- Grant amount and State share
- Cash disbursements, Cash receipts, Cash on hand
- Total federal expenditures

Process Grants



Key Impacts

- Budget maintained within Grants Module for the life of the Award.
- Billing and Revenue for Grants is managed within the Customer Contracts Module.
- Billing can be in advance (Prepaid) or As Incurred.
- Revenue is managed independently of Billing.

Technology Considerations – Conversions

Conversion	Definition
1. PR001 Grants Conversion	Conversion will be Manual Process. Departments will be provided with Data Sheet layouts to capture existing data prior to the conversion date. Conversion will be for Active awards only, and will be completed after the Projects and Customer Contracts automated Conversions.

Session Recap

- Key Considerations
- Action Items
- Open Questions

More Information Can be Found at...



FI\$Cal Project Information:

<http://www.fiscal.ca.gov/>

or e-mail the FI\$Cal Project Team at:

fiscal.cmo@fiscal.ca.gov

Break!!!



Appendix

Additional information and screenshots for reference

Illustration: Create Proposal

Create Proposal: Proposal Definition



- Proposal
- Projects
- Budgets
- Resources
- Certifications
- Reports
- Attachments

Proposal ID: CDA-3CNUTRITION **Version ID:** V101
Description: **Currency:** USD

Add to My Proposals

***Title:**
Long Description:

***PI ID:** Kessler, Marc
***Sponsor ID:** Department of Health & Human Services
Pre-Award Administrator: Scott, William
Purpose:
***Proposal Type:**
Confidence %: ●
CFDA:

Status

***Proposal Status:**
Submit Status: Submitted
Generate Status: Contract Generated
 In Approval Process

- Facilities & Admin Requested
- Foreign Application/Component
- NIH Modular Grant

Due By Budget Express Additional Information

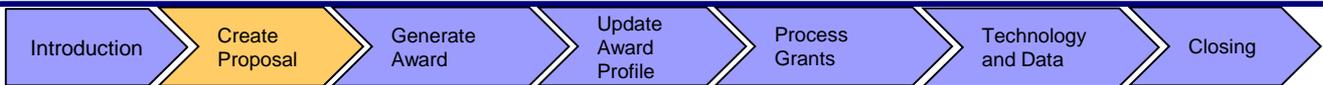


Illustration: Create Proposal

Create Proposal: Proposal Definition Page 2

*Start Date: *End Date: No. Periods:

Budget Periods Personalize | Find | | First 1 of 1 Last

Period	*Start Date	*End Date	Target Sponsor Budget
1	<input type="text" value="07/01/2013"/>	<input type="text" value="06/30/2014"/>	500,000,000.00 <input type="button" value="+"/> <input type="button" value="-"/>

Target Sponsor Budget: 500,000,000.00

Go To: [Location](#) [Protocols](#) [Component](#) [Setup Level](#) [Keywords](#) [Gender & Minority Study](#) [Trainee](#) [Attributes](#)

Return To: [My Proposals](#)

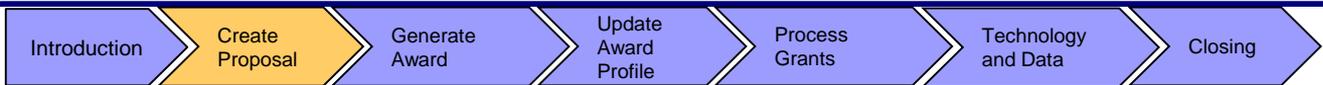


Illustration: Create Proposal

Create Proposal: Proposal Projects

ORACLE

[Proposal](#) | [Projects](#) | [Budgets](#) | [Resources](#) | [Certifications](#) | [Reports](#) | [Attachments](#)

Proposal ID: CDA-3CNUTRITION Version ID: V101
 Description: Title III C Currency: USD

Proposal Projects

Find | View All First 1 of 1 Last

Project ID: Primary Project: 3C0S + -

*Title:

Long Description: ↕ ↗

*Department: 🔍 Dept Contact: 🔍

*Subdivision: 🔍 Dept Rep: 🔍

*Institution: 🔍 Other Contacts: Department Credit

SPO: SPO Contact:

F & A Distribution

Personalize | Find First Last

*Department	Location	Comments	Percent Share	
<input type="text" value="Planning & Economic Dev"/> 🔍			<input type="text" value="100.00"/>	+ -



Illustration: Create Proposal

Create Proposal: Proposal Budget

ORACLE

[Proposal](#) |
 [Projects](#) |
 [Budgets](#) |
 [Resources](#) |
 [Certifications](#) |
 [Reports](#) |
 [Attachments](#)

Proposal ID: CDA-3CNUTRITION **Version ID:** V101
Description: Title III C **Currency:** USD

Proposal Project Find | View All First 1 of 1 Last

Project ID: 3C0S **Title:** Title III C Congregate Nutrition

Budget Header Find | View All First 1 of 1 Last

Budget ID: BUD **Description:** + -
Start Date: 07/01/2013 **End Date:** 06/30/2014 **Include in Proposal**

Budget Period Personalize | Find | First 1 of 1 Last

Period	Start Date	End Date	Amount	Program Income	Number of Participant/Trainees
1	07/01/2013	06/30/2014	500,000,000.00	Program Income	<input type="text"/>

F & A and Pricing Setup **Total:** 500,000,000.000

Illustration: Generate Award

Generate Award: Generate Award

Generate Award

From Proposal: PI-PRP002 To Award:

From Version: V101 Pre-award Spending Add to Grants Portal Security?

Project Find | View All First 1 of 1 Last

Primary Project Included for Preadward Spending

From Project: PI-PRJ20 Nanotechnology applied on chemical and bio-detectors Project:

Budget Personalize | Find |   First 1 of 1 Last

	From Budget	Activity	Description	Pre-Award Spending
<input checked="" type="checkbox"/>	IMPLEMENTATION	IMPLEMENTATION	IMPLEMENTATION	<input checked="" type="checkbox"/>

Generate

All automated integration with Contracts and Projects is triggered here.

Illustration: Generate Award

Generate Award: Award Profile

Award	Funding	Resources	Certifications	Terms	Milestones	Key Words	Funding Inquiry
Award ID:	CDA-3CNUTRITION						
Reference Award Number:	<input type="text" value="635362-486"/>						
Title:	<input type="text" value="Title III C Congregate Nutrition"/>						
Long Description:	<input type="text" value="Title III C Congregate Nutrition"/>						
Award PI:	<input type="text" value="Kessler,Marc"/>	<input type="button" value="Search"/>	Reporting Role				
Sponsor:	Department of Health & Human Services						
Post Award Administrator:	<input type="text"/>	<input type="button" value="Search"/>					
Purpose:	<input type="text" value="NUTRI"/>	<input type="button" value="Search"/>					
Status:	Accepted ▼						
Award Type:	Grant ▼						
CFDA:	<input type="text" value="CFDA_1234"/>	<input type="button" value="Search"/>					
Proposal ID:	<input type="text" value="CDA-3CNUTRITION"/>						
Version ID:	<input type="text" value="V101"/>	<input type="button" value="Search"/>					
Start Date:	<input type="text" value="07/01/2013"/>	<input type="button" value="Calendar"/>					
End Date:	<input type="text" value="06/30/2014"/>	<input type="button" value="Calendar"/>					

Illustration: Generate Award

Generate Award: Funding

- [Award](#)
- [Funding](#)
- [Resources](#)
- [Certifications](#)
- [Terms](#)
- [Milestones](#)
- [Key Words](#)
- [Funding Inquiry](#)

Award ID CDA-3CNUTRITION

Award Title Title III C Congregate Nutrition

Reference Award Number

Currency USD

Award PI Kessler,Marc

Primary Project PI Kessler,Marc

Total Award Amount 500,000,000.00

Funding Info										Find View All		First 1 of 1 Last	
Project 3C0S			Title III C Congregate Nutriti				Project PI Kessler,Marc						
Detail										Personalize Find		First 1 of 1 Last	
Period		*Start Date	*End Date	Funded Amount	To Project ID	Budget Posting Status	PC Distribution Status						
1		07/01/2013	06/30/2014	500,000,000.00	3C0S	Posted	Distributed						

- Go To: [Sponsor](#) [Protocols](#) [Attributes](#) [Department Credit](#) [Notepad](#) [Award Modifications](#)



Illustration: Generate Award

Generate Award: Budget Detail - General

Budget Detail

Post Budget to KK and to PC

Project: 3C0S Title III C Congregate Nutriti

Budget Period: 1 Begin Date: 07/01/2013 End Date: 06/30/2014 Finalize [Process Monitor](#)

Project Budget Summary

Cost Share Direct: \$0.00 Currency: USD Total Budget: \$500,000,000.00

Sponsor Budget: \$500,000,000.00

Security Status: None

Budget Amounts for Period

Personalize | Find | View All |   First  1 of 1  Last

Activity	Budget Item	Begin Date	Quantity	Unit of Measure	Amount	Currency		
BUD	PERSON		1.00		500,000,000.00	USD		

Illustration: Generate Award

Generate Award: Funding Inquiry

- [Award](#)
- [Funding](#)
- [Resources](#)
- [Certifications](#)
- [Terms](#)
- [Milestones](#)
- [Key Words](#)
- [Funding Inquiry](#)

Award ID: CDA-3CNUTRITION
Reference Award Number: 635362-486
Total Projected Award Amount: \$500,000,000.000
Total Reported Award Amount: \$500,000,000.000
Total Posted Budget Amount: \$500,000,000.000
Total Limit Amount: \$500,000,000.000
Begin Date: 07/01/2013
End Date: 06/30/2014

Recalculate the Amounts

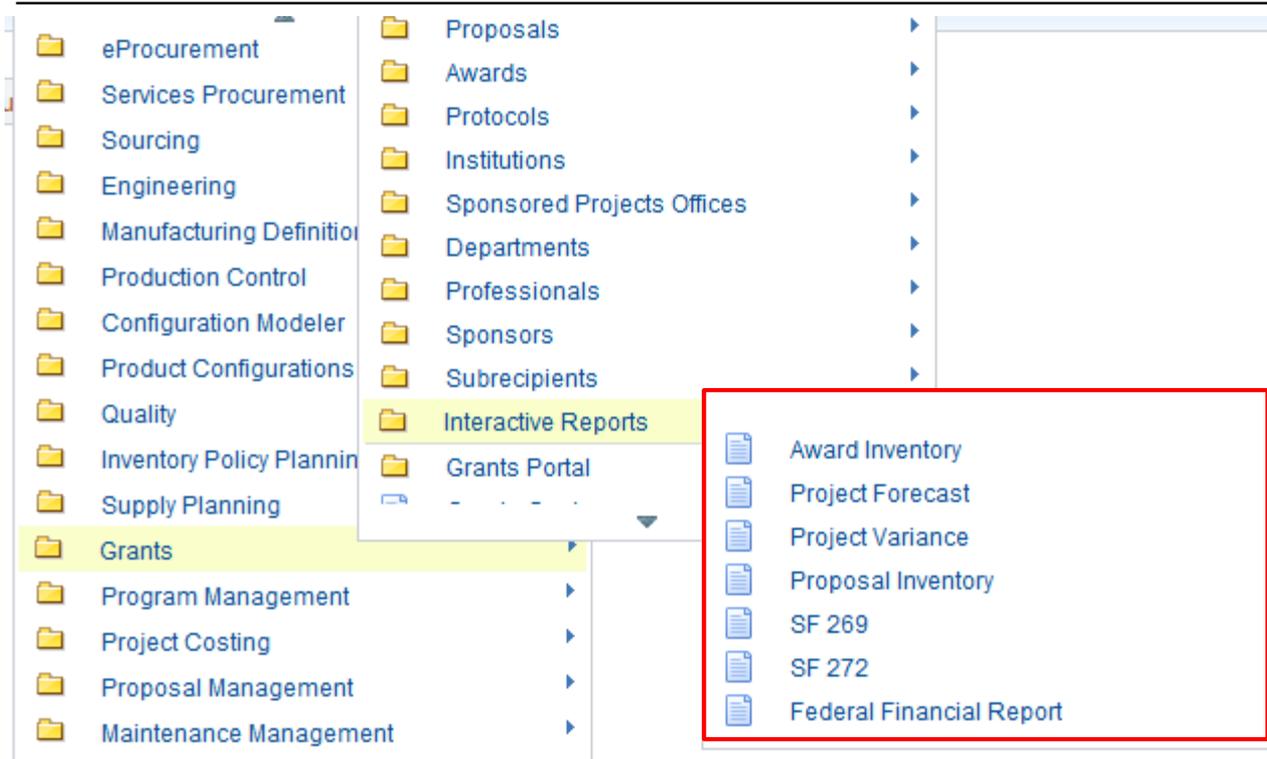
Contract Lines		Find View All	First	1 of 1	Last
Contract Line:	1	Total Posted Budget Amount:	\$500,000,000.000		
Billing Limit Amount:	\$500,000,000.000				
Project Detail		Personalize Find	First	1 of 1	Last
Project	Activity	Funded Amount	Posted Budget Amount		
1 3C0S	BUD	\$500,000,000.000	\$500,000,000.000 + -		

Illustration: Generate Award

Generate Award: Contract Header

General		Lines	
Contract Number:	CDA-3CNUTRITION	Sold To Customer:	Department of Health & Human Services
		*Contract Status:	PENDING 
Add to My Contracts			
Description:	Title III C Congregate Nutriti	Processing Status:	Pending
Contract Admin:	<input type="text"/> 	Amendment Status	
Region Code:	<input type="text"/> 	Business Unit:	California Department of Aging
Contract Type:	GRANTS 	Contract Classification:	Standard
Currency Code:	USD	Last Amended:	
Exchange Rate Type:	CRRNT 	Start Date:	07/01/2013
Contract Signed:	07/01/2013 	End Date:	06/30/2014
		Last Update Date/Time:	04/30/2014 9:37:13AM
		Last Update User ID:	DHoferkamp
▶ Other Information			
▶ Summary of Amounts			
Go To:	Billing Plans	Revenue Plans	Milestones
		Renewals	Amount Allocation
			More 

Interactive Reports



Delivered Interactive Reports allow for dynamic Real Time analysis

Interactive Reports

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted National Institute of Health		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) 333		Page 1	of 1 pages
3. Recipient Organization (Name and complete address including Zip code) PeopleSoft University 4305 Hacienda Dr , Pleasanton, CA, 94588					
4a. DUNS Number 144709193	4b. EIN 000000000000000000 0000649310000	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) PI-PRP003	6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input checked="" type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual	
8. Project/Grant Period From: (Month, Day, Year) 01/01/2001		To: (Month, Day, Year) 12/31/2009	9. Reporting Period End Date (Month, Day, Year) 05/01/2014		
10. Transactions			Cumulative		
<i>(Use lines a-c for single or multiple grant reporting)</i>					
Federal Cash (To report multiple grants, also use FFR attachment):					
a. Cash Receipts			\$0.00		
b. Cash Disbursements			\$6,168,000.00		
c. Cash on Hand (line a minus b)			\$(6,168,000.00)		
<i>(Use lines d - o for single grant reporting)</i>					
Federal Expenditures and Unobligated Balance:					
d. Total Federal funds authorized			\$44,312,000.00		